

1 **Lightning-generated NO_x seen by OMI during NASA's TC⁴ experiment**

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14 **Abstract:** We present case studies identifying lightning-generated upper-tropospheric NO_x
15 (LNO_x) observed during NASA's Tropical Composition, Cloud and Climate Coupling
16 Experiment (TC⁴) in July and August 2007. In the campaign, DC-8 aircraft missions, flown from
17 Costa Rica, recorded *in situ* NO₂ profiles near active storms and in relatively quiet areas. We
18 combine these TC⁴ DC-8 data with satellite data from the Ozone Monitoring Instrument (OMI)
19 to estimate the lightning-generated NO₂ (LNO₂)—above background levels—in the observed
20 OMI NO₂ fields. We employ improved off-line processing techniques to customize the OMI
21 retrieval for LNO₂. Information on lightning flashes—primarily cloud-to-ground (CG)—
22 observed by the Costa Rica Lightning Detection Network (CRLDN - operated by the Instituto
23 Costarricense de Electricidad) and the World Wide Lightning Location Network (WWLLN)
24 were examined over storms upwind of regions where OMI indicates enhanced LNO₂. These flash
25 data are compared with Tropical Rainfall Measuring Mission/Lightning Imaging Sensor
26 (TRMM/LIS) satellite data to estimate total flashes. Finally, using [NO₂]/[NO_x] ratios from
27 NASA's Global Modeling Initiative model, we estimate LNO_x production per flash for four
28 cases and obtain rates of 100–600 mol/flash. These are near or below rates derived from
29 modeling of observed mid-latitude storms. In our study, environments with stronger anvil-level
30 winds were associated with higher production rates. LIS flash footprint data for one of the low-
31 LNO_x production cases with weak upper tropospheric winds suggest below-average flash lengths
32 for this storm. LNO_x enhancements over background determined from the OMI data were in
33 general agreement with aircraft estimates.

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36 **1. Introduction**

37

38 NO₂ and NO (together referred to as NO_x) are trace gases important in ozone chemistry in both
39 the troposphere and stratosphere. Worldwide, anthropogenic emissions of NO_x dominate the NO_x
40 budget. However, considerable uncertainty surrounds emission rates from natural sources
41 (lightning and soil). Lightning is the largest nonanthropogenic source of NO_x in the free
42 troposphere (hereafter, we refer to lightning-generated NO_x as LNO_x). The most accepted
43 estimates of global LNO_x production range from 2 to 8 Tg (N) yr⁻¹ [*Schumann and Huntrieser,*
44 2007], or about 10–15% of the total NO_x budget. Thunderstorms produce most NO_x in the
45 middle and upper part of the troposphere, where NO_x has a lifetime of 5–10 times longer than the
46 approximate 1-day lifetime in the lower troposphere [*Jaeglé et al., 1998; Martin et al., 2007*].
47 Thus, a given amount of LNO_x in this region can have a greater impact on ozone chemistry than
48 relatively short-lived boundary-layer NO_x. Ozone production can proceed at rates of up to 10
49 ppbv per day in the lightning-enhanced convective outflow plumes of ozone precursors [*DeCaria*
50 *et al., 2005; Ott et al., 2007; Pickering et al., 1996*]. Ozone is the third most important
51 greenhouse gas, and ozone enhancements near the tropopause have the greatest effect on its
52 radiative forcing. Therefore, additional ozone produced downwind of thunderstorm events is
53 particularly effective in climate forcing.

54

55 Recent studies have attempted to constrain the magnitude of the global LNO_x source using
56 satellite observations. *Beirle et al. [2004]* used Global Ozone Monitoring Instrument (GOME)

57 NO₂ column densities over Australia and data from the Lightning Imaging Sensor (LIS) to
58 estimate that lightning produces 2.8 Tg (N) yr⁻¹, but the range of uncertainty was large (0.8–14
59 Tg (N) yr⁻¹). *Beirle et al.* [2006] studied LNO_x production from a storm system in the Gulf of
60 Mexico using GOME data and National Lightning Detection Network (NLDN) observations.
61 Extrapolating their findings to the global scale, they estimated an LNO_x source of 1.7 Tg (N) yr⁻¹
62 with a range of uncertainty from 0.6 to 4.7 Tg (N) yr⁻¹. *Boersma et al.* [2005] used GOME NO₂
63 observations and the TM3 global chemical transport model with two different LNO_x
64 parameterizations and concluded that LNO_x production was between 1.1 and 6.4 Tg (N) yr⁻¹. In
65 their study, stratospheric NO₂ was estimated and removed from the data by an assimilation
66 approach using the TM3 model. *Martin et al.* [2007] used Goddard Earth Observing System
67 chemistry model (GEOS-Chem) simulations in conjunction with space-based observations of
68 NO_x, ozone, and nitric acid to estimate LNO_x production of 6 ±2 Tg (N) yr⁻¹. Their NO₂ data
69 were obtained using the Scanning Imaging Absorption Spectrometer for Atmospheric
70 Cartography/chemistry (SCIAMACHY) instrument and analyzed with methods similar to those
71 described in *Martin et al.* [2002]. In general, satellite observations of LNO_x are challenging
72 because of issues of cloud cover and because most upper tropospheric NO_x exists in the form of
73 NO, which is not directly detectable from space. *Beirle et al.* [2009] have demonstrated, through
74 the use of cloud/chemistry and radiative transfer modeling, that nadir-viewing satellites likely
75 have a sensitivity near or less than 50% for LNO_x produced in a typical marine convective
76 system. Therefore, when satellite data are used to estimate LNO_x, this sensitivity factor must be
77 taken into account.

78

79 A critical quantity in many studies that attempt to infer global production rates is the rate of NO_x
80 generation in individual thunderstorms, often expressed as the number of moles of NO_x produced
81 per lightning flash. Estimates for this NO_x generation can vary by at least an order of magnitude
82 [Zhang *et al.*, 2003], with many estimates between 50 and 700 mol/flash [Ott *et al.*, 2007, 2009
83 and references therein]. From studies of individual storms, these estimates have been
84 extrapolated to provide global LNO_x production rates. However, such extrapolations are
85 complicated by variations in pressure-level, intensity, and length of lightning strokes for tropical
86 versus mid-latitude storms. The satellite investigation by Beirle *et al.* [2006] found that, on
87 average, lightning in the Gulf of Mexico system produced 90 mol/flash NO . Modeling studies
88 [e.g., Ott *et al.*, 2009] have examined how these parameters vary for intracloud (IC) and cloud-
89 to-ground (CG) flashes in different latitude regions. The variations may result in different LNO_x
90 production rates, P_{IC} and P_{CG} , for IC and CG flashes, respectively. Although early investigations
91 [e.g., Price *et al.*, 1997] suggest that the value of the ratio $P_{\text{IC}}/P_{\text{CG}}$ is much less than 1 (~ 0.1),
92 more recent studies provide evidence that the value may be near unity or even greater [DeCaria
93 *et al.*, 2005; Fehr *et al.*, 2004; Ott *et al.*, 2007, 2009; Zhang *et al.*, 2003]. Huntrieser *et al.* [2008]
94 suggest that overall production of LNO_x per flash, $P_{\text{IC+CG}}$, may be 2–8 times larger in subtropical
95 and mid-latitude storms than in tropical storms. This result may be due to longer flash channel
96 lengths outside the tropics in regions of greater vertical wind shear.

97

98 In this paper we examine four tropical convective events from the NASA Tropical Composition,
99 Clouds, and Climate Coupling (TC^4) campaign [Toon *et al.*, 2009] and compute the number of
100 moles of LNO_x per flash using a combination of data from the Ozone Monitoring Instrument
101 (OMI) instrument on the Aura satellite, *in situ* observations from the DC-8 aircraft, global

102 chemical transport model output, and ground-based lightning flash observations. Our approach
103 differs from those of previous satellite investigations in the methods used to remove the
104 stratospheric and tropospheric background (as described later in this paper), and because we
105 derive LNO_x production per flash directly from an estimate of accumulated LNO_x and lightning
106 flash counts, rather than by adjusting model parameters to match the satellite data. Our use of
107 OMI data is better suited to individual case studies than are the lower-resolution GOME and
108 SCIAMACHY data. We also focus exclusively on tropical-latitude storms that occurred over
109 ocean regions. In these regions convection is less tied to late-afternoon diurnal cycles (and hence
110 more likely to occur before or near the OMI overpass time of ~13:45 local time [LT]), and NO₂
111 contamination from anthropogenic sources is less [Beirle *et al.*, 2009]. We use measured OMI
112 NO₂ columns and CG flash counts. From these we estimate the LNO_x columns and the total
113 flashes (IC + CG) and combine results to obtain the P_{CG+IC} for the storms on the 4 days studied.
114 We then examine our results in the context of estimates of LNO_x per flash from other studies.

115

116 Section 2 describes the data we used in our analyses. Section 3 details the calculations that were
117 performed in the LNO_x retrieval process and describes how we used the retrieved LNO_x values,
118 in combination with flash rates, to estimate production per flash. Results are presented in Section
119 4. We discuss the implications of the derived values and their uncertainties in Section 5 and draw
120 conclusions in Section 6.

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124 **2. Data Overview**

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126 **2.1 TC⁴: Aircraft measurements and lightning data**

127

128 During July and August 2007, NASA launched the TC⁴ experiment to study a variety of
129 atmospheric physical and chemical processes in the Eastern Pacific and other areas near Costa
130 Rica. Among TC⁴ objectives was validation of measurements from OMI, including cloud
131 properties and column amounts of the trace gases ozone, NO₂, and SO₂. NO and NO₂
132 measurements at a variety of altitudes near tropical convection were also intended to assess the
133 lightning NO_x budget. In this study, we used *in situ* NO₂ measurements from the University of
134 California at Berkeley's laser-induced fluorescence instrument [Thornton *et al.*, 2000, 2003]
135 onboard the NASA DC-8 aircraft, which flew in and around thunderstorms and also sampled
136 relatively undisturbed air in "clean" areas of the Pacific and Caribbean. Figure 1 shows partial
137 DC-8 flight tracks for the sampling within and near convective systems on July 17, 21, and 31,
138 and on August 5.

139

140 Observed lightning flashes near the storms of interest were counted so that the per-flash
141 production rates of LNO_x could be determined. In this study, we use flash data from ground-
142 based detectors of the local Costa Rica Lightning Detection Network (CRLDN) and the global
143 scale Worldwide Lightning Location Network (WWLLN) to count flashes from nearby storms
144 on the 4 days examined in this study. The CRLDN records lightning flashes within and near
145 Costa Rica with an efficiency that decreases with distance from the country. The network

146 consists of five IMPACT (Improved Performance from Combined Technology) sensors, similar
147 to those used in the U.S. NLDN [Cummins *et al.*, 1998] distributed throughout Costa Rica.
148 During TC⁴, the WWLLN consisted of a network of ~25 detectors distributed throughout the
149 world [Rodger *et al.*, 2006]. No complete global observations of the spatial variability of the
150 detection efficiency of WWLLN are available, although the efficiency has been increasing in
151 recent years as the network grows [Rodger *et al.*, 2008]. The WWLLN is 30–40% more efficient
152 at detecting flashes with peak currents above 40 kA, which is significantly higher than that of
153 typical CG flashes. There is also some indication that the detection efficiency is greater over
154 ocean than over land in the TC⁴ region [Lay *et al.*, 2009]. Both detector networks respond
155 primarily to CG flashes and to a smaller percentage of IC flashes. To obtain the total (IC + CG)
156 flash rate, it was necessary to scale the ground-based counts using a reference detector that
157 efficiently recorded both types of flashes. The reference used was data from the LIS instrument
158 on the Tropical Rainfall Measuring Mission (TRMM) [Boccippio *et al.*, 2002] satellite, recorded
159 during all overpasses of Costa Rica and surrounding areas during July and August 2007.

160

161 **2.2 OMI NO₂ data**

162

163 The OMI instrument is onboard the Aura satellite, which was launched July 2004 [Levelt *et al.*,
164 2006]. In addition to providing daily global measurements of ozone, OMI records other
165 important trace gases—notably NO₂. Because NO and NO₂ exist in photochemical equilibrium,
166 their sum, NO_x, is the quantity of interest. Due to differences in its absorption spectrum, NO is
167 not readily detectable from space, and the total NO_x amount must be inferred from
168 photochemical models.

169

170 The standard NO₂ product from OMI has been described by *Bucsela et al.* [2006, 2008] and
171 *Celarier* [http://toms.gsfc.nasa.gov/omi/no2/OMNO2_readme.pdf]. Backscattered radiation in
172 the form of spectral data from 60 pixels across the satellite track is imaged onto a CCD array, at
173 a spatial resolution of $13 \times 24 \text{ km}^2$ at nadir. The spectrum at each pixel is fitted with an NO₂
174 absorption cross section to determine the total NO₂ slant column amount, *S*. In the OMNO2
175 product, the slant columns are also corrected for an instrumental artifact—the “cross-track
176 anomaly”—with a procedure that cross-track averages data from 15 consecutive orbits between
177 $\pm 55^\circ$ latitude. The cross-track anomaly correction is computed as an orbital constant at each of
178 the 60 cross-track positions. An air mass factor (AMF), defined as the ratio of a slant column
179 amount to the corresponding vertical column amount, is computed for a stratospheric NO₂ profile
180 and divided into the slant column to give an “initial” vertical column amount. The stratospheric
181 column amount is estimated from the global distribution of initial columns by masking polluted
182 regions and interpolating the remaining field in narrow latitude zones using planetary wave-2
183 functions. The tropospheric NO₂ vertical column—defined as positive—is computed from the
184 initial and stratospheric amounts and a tropospheric AMF.

185

186 For this study, we have developed a different method to estimate tropospheric NO₂ in the regions
187 affected by lightning (items 1 – 6 below). Some of the modifications in our approach (items 1 –
188 3 and 6) anticipate changes planned for the updated OMI NO₂ standard product data release due
189 in 2009.

190 (1) Optimize the cross-track anomaly correction for tropical measurements

191 (2) Apply a correction to the stratospheric field to account for tropospheric contamination

192 (3) Compute tropospheric NO₂ slant column and allow positive and negative values.

193 (4) Use observed *in-situ* NO₂ profiles to get AMFs appropriate for convective outflow.

194 (5) Subtract background (non-lightning NO₂) derived from a global model.

195 (6) Improve error estimates.

196 These are discussed further in Section 3.

197

198 **3. Analysis**

199

200 In this section we describe our approach for estimating the LNO_x signal from the OMI data. Data
201 from 4 days—July 17, 21, and 31 and August 5, 2007—were selected from the DC-8 flight days
202 during TC⁴ for analysis in this study; they are based on the combination of convective activity
203 within 12 hr of OMI overpass, as well as a detectable signal in the OMI NO₂ field near the
204 storms. The lightning signal was too weak to be detectable by OMI in the regions of two
205 additional convective systems sampled by the DC-8 (July 24 and August 8). Some of the
206 analysis also relies on aircraft measurements of *in situ* NO₂ from the DC-8. We also discuss use
207 of the lightning data from ground networks of detectors to obtain total flash estimates for each of
208 the regions studied.

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210

211

212 3.1 OMI NO₂ and LNO_x

213

214 The LNO_x signal near convection is extracted from the OMI NO₂ data. The procedure involves
215 removal of the stratospheric and background-tropospheric components of the OMI slant columns
216 to yield a lightning-generated NO₂ (LNO₂) slant column. The slant column is divided by an AMF
217 representative of an LNO_x profile to yield the LNO_x vertical column, V_L, which is computed as
218 follows:

$$219 \quad V_L = [S - V_{S'} \cdot A_S - V_{tBG} \cdot A_{tBG}] / A_{tL} \quad (1)$$

220 where

221 S is the OMNO2 slant column from the spectral fit (corrected for cross-track anomaly)

222 V_{S'} is the corrected stratospheric vertical column amount

223 A_S is the AMF for a stratospheric NO₂ vertical profile

224 V_{tBG} is the local tropospheric background NO₂ (non-lightning) from the Global Modeling
225 Initiative (GMI) model

226 A_{tBG} is the local tropospheric background AMF (to ground) from OMNO2.

227 A_{tL} is a factor that converts the LNO₂ slant column to an LNO_x vertical column

228 The quantity in brackets in Equation (1)—the LNO₂ slant column—may have positive and
229 negative values.

230

231 The slant columns obtained from the OMI spectral fit are corrected for the cross-track anomaly
232 during level-1 to level-2 processing. In this study, we have used a procedure different from that

233 applied in the OMNO2 standard product. Here the data that determine the anomaly are restricted
234 to tropical latitudes between $\pm 30^\circ$ (rather than the $\pm 55^\circ$ in OMNO2) and are based on the current
235 orbit, plus 2 adjacent orbits (rather than 15 adjacent orbits). This approach provides sufficient
236 statistics for accurately characterizing the anomaly function, while allowing for variation in the
237 anomaly function during each day and avoiding contamination from polluted regions at middle
238 latitudes.

239

240 The second term in Equation (1) is the corrected stratospheric slant column, which appears as the
241 product $V_S' \cdot A_S$, where the stratospheric AMF, A_S , is primarily a function of viewing geometry.

242 The corrected stratospheric field V_S' is given by

$$243 \quad V_S' = V_S - V_{tc} \cdot \bar{A}_t / \bar{A}_s, \quad (2)$$

244 where V_S is the “unpolluted” (essentially stratospheric) field from the wave-2 analysis in the
245 OMNO2 algorithm. This field is based on OMI data from “clean” regions defined by the
246 algorithm’s pollution mask. *Martin et al.* [2002] use a related approach in correcting data from
247 the central Pacific. The mask identifies areas that have annual mean tropospheric column
248 amounts less than $0.5 \times 10^{15} \text{ cm}^{-2}$, as estimated from the GEOS-Chem model [*Bey et al.*, 2001].
249 The stratospheric field is constructed from data in these relatively unpolluted areas. However, the
250 small amounts of tropospheric NO_2 in these regions can introduce a significant bias in the V_S ,
251 that can mask small amounts of tropospheric NO_2 (e.g., from lightning). We have corrected this
252 in the present study by subtracting zonal mean (within 9° -wide latitude bands) monthly
253 tropospheric column based on the NASA GMI chemical transport model [*Duncan et al.*, 2007].
254 V_{tc} is the mean model tropospheric column in the “clean” regions around the zonal band, and
255 \bar{A}_t / \bar{A}_s is the ratio of the mean tropospheric to stratospheric AMF in the same region. We use a

256 mean value of 0.7 for this ratio. The resulting V_S' is an approximation of the true stratospheric
257 component of the unpolluted field measured by OMI. The difference between V_S and V_S' ranges
258 from 0.04×10^{14} to $0.13 \times 10^{15} \text{ cm}^{-2}$ ($\sim 2\text{--}5\%$) and has a relatively large uncertainty, as described
259 in Section 5.

260

261 The local tropospheric background is the third term in Equation (1). It is a slant column amount
262 equal to the product of the tropospheric vertical column, V_{tBG} , and the tropospheric background
263 AMF, A_{tBG} . Treating the background slant column in this manner neglects potential modification
264 of the background NO_2 profile due to local meteorological effects, but is a good approximation
265 for the small background amounts over tropical oceans [Beirle *et al.*, 2009]. Note, that the AMF,
266 A_{tBG} , is computed from the complete NO_2 profile (tropopause to ground) in the presence of
267 clouds. Thus it implicitly accounts for clouds' effects on the *visibility* of background NO_2 from
268 OMI.

269

270 The tropospheric background in the vicinity of the TC⁴ study (Central America and surrounding
271 regions) was the monthly mean of GMI model output from a period including late July and early
272 August, averaged over 2005 and 2006 (data from 2007 were not available at the time of this
273 study). The model was run with the NO_x production by lightning turned off, so that only NO_x
274 from non-lightning sources was subtracted from the measurements. The model data are on a $2 \times$
275 2.5° grid. The GMI model output was used in the background estimation because background
276 values were required for broad regions over and downwind of the convective systems of interest
277 (i.e., over broader regions than the aircraft observed). Other methods of estimating the
278 tropospheric background were examined as discussed in Section 5. The tropospheric AMF was

279 computed using background NO₂ profiles from the GMI model and viewing geometry, and
 280 albedo and cloud information from the OMI data product for each measurement (OMI pixel).
 281 The background AMF is computed as an integral over pressure, p :

$$282 \quad A_{\text{tBG}} = \int \frac{dp}{p} \cdot r_{\text{BG}}(p) \cdot a(p) \cdot \beta(p) \quad (3)$$

283 where $r_{\text{BG}}(p)$ is the background NO₂ mixing-ratio profile, $a(p)$ is the atmospheric scattering
 284 weight (a function of viewing geometry, albedo, surface pressure, cloud pressure, and cloud
 285 height) and $\beta(p)$ is a temperature correction factor to adjust for the decrease in amplitude of the
 286 NO₂ absorption cross section with temperature. Its value for most temperatures in the
 287 troposphere and stratosphere is within 20% of unity. Temperatures are climatological monthly
 288 means from the National Centers of Environmental Prediction (NCEP). The temperature
 289 dependence is approximated as

$$290 \quad \beta(p) = 1 - 0.003 \cdot [T(p) - 220] \quad (4)$$

291 The factor A_{tL} in the denominator of Equation (1), which may be thought of as the “LNO_x
 292 AMF”, is computed, following *Beirle et al.* [2009], as

$$293 \quad A_{\text{tL}} = \int \frac{dp}{p} \cdot r_{\text{LNO}_2}(p) \cdot a(p) \cdot \beta(p) \cdot \gamma(p) \quad (5)$$

294 In Equation (5), $\gamma(p)$ is the ratio [NO₂]/[NO_x]. The ratio depends on local chemistry and
 295 photolysis and thus varies with pressure, ozone concentration, and the amount of direct and
 296 scattered sunlight available. In this study, three ratios were obtained from the GMI model grid
 297 cells in the TC⁴ region; they represent maximum, mean, and minimum values for 1800 Universal

298 Time Coordinated (UTC; near the OMI overpass time) in layers in the typical cloud outflow
299 zone (500 to 100 hPa). The minimum ratio is used for regions above bright clouds, and the mean
300 ratios are used within clouds, down to 100 hPa below cloud tops. The maximum ratios are used
301 in all other regions, including clear areas.

302

303 The $\gamma(p)$ profiles are shown along with typical $a(p)$ and $\beta(p)$ profiles in Figure 2. The shapes of
304 the profiles a and β show that radiative transfer effects enhance the sensitivity of the OMI slant
305 column to NO_2 at higher altitudes (above ~ 600 hPa), where the majority of LNO_2 exists.
306 However, this NO_2 represents only a small fraction of the lightning-generated NO_x , given that
307 the γ profiles have values generally less than 0.5 at these pressure levels.

308

309 We used a single composite NO_2 lightning profile, $r_{\text{LNO}_2}(p)$, in the computation. We assembled
310 it from the four TC⁴ DC-8 aircraft profiles containing the highest amounts of NO_2 above the 750
311 hPa level—the levels most influenced by lightning-generated NO_x . The profiles were binned
312 using median mixing ratios on a fixed pressure grid, similar to the approach used by *Bucsela et*
313 *al.* [2008]. Because none of the four profiles contained sufficient data above 300 hPa, we used
314 three additional profiles from thunderstorm anvil flights for the composite at these high altitudes.
315 Several of the profiles used for the composite also contained significant amounts of pollution at
316 low altitudes, mostly measured near the airport. Therefore, we extrapolated the mixing ratio of
317 the composite profile at 750 hPa to ground as a constant. The composite LNO_2 profile and a
318 background profile $r_{\text{BG}}(p)$ are shown in Figure 2. That profile is qualitatively consistent with the
319 LNO_2 profiles summarized by *Ott et al.* [2009] from the Cirrus Regional Study of Tropical

320 Anvils and Cirrus Layers-Florida Area Cirrus Experiment (CRYSTAL-FACE), the European
321 Lightning Nitrogen Oxides Project (EULINOX), and the Stratosphere-Troposphere Experiments:
322 Radiation, Aerosols & Ozone (STERAO) campaigns, showing maxima between 4 and 10 km.

323

324 The composite profile in this study was used along with OMI pixel information on viewing
325 geometry, albedo, and clouds to construct a value of A_{tL} at each pixel. Since the bulk of LNO_2 is
326 at high altitudes, the value of A_{tL} is relatively independent of the precise shape of the NO_2
327 profile, particularly in the boundary layer, compared to the AMFs for the background profiles.
328 The primary effect of clouds on A_{tL} is to modify the $[NO_2]/[NO_x]$ ratio. The uncertainty in the
329 final results due to uncertainty in the LNO_2 profile shape (e.g., from constructing the composite
330 without one or more of the individual measured profiles) was found to be negligible relative to
331 other uncertainties in this study, which are discussed in Section 5.

332

333 A perimeter, constructed on a 1° -longitude x 1° -latitude grid defines the estimated region
334 influenced by lightning NO_x for the day in question. The regions were selected on the basis of
335 the location of recent (within the past 12 hr) convection, the mean upper-tropospheric wind
336 fields, and examination of the OMI NO_2 field. The regions were designed to minimize potential
337 effects by other convective systems. However, such effects remain a possible source of
338 contamination and represent a significant uncertainty in each of the case studies, except the July
339 17 case.

340

341 The value of V_L was obtained from Equation (1) for pixels having centers within the perimeter,
342 and a weighted sum was computed. Weights were based on the approximate area of overlap for
343 the pixel with the region. The total number of moles LNO_2 in region is the average V_L , times the
344 area of the region, divided by Avagadro's number.

345

346 **3.2 Flash counts**

347

348 The CRLDN and concurrent LIS data were binned in concentric rings in radius steps of 200 km
349 around the middle of Costa Rica. The data were obtained from all LIS overpasses over or near
350 Costa Rica during July and August 2007. Only CRLDN flashes that occurred within the LIS field
351 of view were considered in this analysis. From these data, we derived detection fractions for
352 total flashes (CG + IC) in each ring. The scaling factor for CRLDN data, ϵ_C (the inverse of
353 detection fraction) is:

$$354 \quad \epsilon_C = \langle F_{LIS} / F_{CRLDN} \rangle \quad (6)$$

355 where F_{LIS} are the LIS satellite flash counts, F_{CRLDN} are the raw CRLDN counts, and $\langle \rangle$ refers to
356 averaging in a given ring over the 2 months. Before their use in this calculation, we adjusted the
357 LIS flash counts for the detection efficiency of this instrument on the basis of values provided by
358 *Bocippio et al.* [2002] (e.g., 69% at local noon and 88% at night). Values of ϵ_C determined for
359 this period were 1.40 in the 0–200 km radius ring, 2.80 in the 200–400 km radius ring, and 9.17
360 in the 400–600 km ring. Beyond 600 km, the CRLDN data become too uncertain to use in LNO_x
361 analyses. We used ϵ_C to obtain adjusted CRLDN counts, F'_{CRLDN} , for the July 31 storm, which

362 was located near the CRLDN network, and took this value to be the best estimate of total number
363 of flashes for that storm; that is,

$$364 \quad F_{\text{Total}} = F'_{\text{CRLDN}} = F_{\text{CRLDN}} \cdot \epsilon_C \quad (7)$$

365 We also estimated the detection fraction of the WWLLN network in the TC⁴ region. The flash
366 counts from the CRLDN (adjusted using ϵ_C) and WWLLN for six storms during the TC⁴ period
367 in the vicinity of Costa Rica were compared to obtain a second scaling factor ϵ_W . The factor is

$$368 \quad \epsilon_W = \langle F'_{\text{CRLDN}} / F_{\text{WWLLN}} \rangle \quad (8)$$

369 where F_{WWLLN} is the WWLLN flash count. In this case, no information on the spatial variability
370 of the WWLLN is available, because the averaging was done over six storms, all of which were
371 near Costa Rica. We obtained a mean value $\epsilon_W = 4.57$ with an error of $\pm 36\%$. This factor was
372 used to compute the total flash counts on July 17 and 21 and August 5, when storms were
373 relatively far from the CRLDN network; that is,

$$374 \quad F_{\text{Total}} = F_{\text{WWLLN}} \cdot \epsilon_W \quad (9)$$

375 Dividing the estimated total flash counts into the moles of LNO_x in the corresponding region
376 gives the estimated number of mole per flash.

377

378 **4. Results**

379

380 We obtained measurable OMI NO₂ signals near convection on 4 of the 6 days during the TC⁴
381 experiment on which the aircraft sampled thunderstorm anvils. All four convective systems
382 analyzed are located over the ocean. Therefore, convective transport of surface emissions of NO_x

383 into the anvils of these systems was assumed to be negligible. By comparing the OMI NO₂ field
384 with the cloud field and lightning measurements, and estimating the effects of transport due to
385 mid-tropospheric wind fields, we identified regions of possible LNO_x enhancement. The OMI
386 effective geometrical cloud fraction on those days is shown in Figure 3, and the LNO_x fields over
387 the same areas, computed as outlined in Section 3, are shown in Figure 4.

388
389 Most of the regions in Figure 3 are partly cloudy, and we estimate values of A_{TL}, between 0.2 and
390 0.8, with most values in the range of 0.4 to 0.5. These factors compare well with the factors
391 estimated in the model study of *Beirle et al* [2009] (referred to as “sensitivity factors” in that
392 study), in spite of the simpler fixed LNO₂ profile and approximation of opaque Lambertian
393 clouds used in the present study. *Ott et al.* [2009] estimated the LNO₂ signal that might be seen
394 in satellite measurements over convective clouds, based on visible-near-UV penetration of
395 radiation to a depth of 400–600 hPa. Their calculations suggested LNO₂ tropospheric vertical
396 columns of $0.1 - 2.0 \times 10^{15} \text{ cm}^{-2}$ should be detectable over active convection. In the present
397 study, the mean LNO₂ column in each of the regions analyzed ranged from $0.2 - 1.7 \times 10^{15} \text{ cm}^{-2}$.

398
399 Table 1 summarizes information about the regions studied on the 4 days. Shown are the areas of
400 the polygons, the mean anvil-level wind velocities from NCEP reanalysis, the moles of LNO_x,
401 flash counts, and the resultant LNO_x production rates. Derivation of the uncertainty estimates is
402 given in Section 5.

403

404 LNO_x production per flash was found to be relatively low—between 100 and 200 mol/flash—in
405 the first two cases (July 17 and 21) and higher—400 to 600 mol/flash—in the latter two cases
406 (July 31 and August 5). We note that the first two cases had relatively light anvil-level (300 hPa)
407 wind speeds (2–6 m/s) and that the latter two cases had stronger winds at anvil level (8–13 m/s).
408 These results are suggestive of agreement with the results of *Huntrieser et al.* [2008], who found
409 greater LNO_x production in storms with greater vertical wind shear. The *Huntrieser et al.*
410 analysis suggests that longer flash length occurs with stronger upper level winds and that the
411 greater length is responsible for greater production per flash. *Huntrieser et al.* [2009] suggest that
412 even within the tropics substantial variability in production per flash can occur, and may also be
413 related to flash length and associated wind profiles.

414

415 **5. Discussion**

416

417 The moles per flash estimates in this study are associated with large uncertainties. In this section
418 we examine the error estimates and compare our results with those of other studies.

419

420 **5.1 Uncertainties**

421

422 The small magnitude and spatial extent of LNO₂ enhancements make precise measurements
423 difficult, as reflected in the large uncertainties in moles per flash obtained this study. We
424 distinguish between two types of errors: (1) those related to pixel-scale measurement variability,
425 which we treat as random errors, and (2) systematic errors associated with larger scale

426 variability. The latter are the largest component of the overall uncertainties in the moles per flash
 427 numbers. In this section we discuss the estimation of both types of errors and their propagation.
 428 To identify all sources of uncertainty, Equation (1) can be rewritten explicitly as follows:

$$429 \quad V_L = \sum_i w_i \cdot \{ S_i - [V_{si} + \delta V_s - (V_{tc\ i} + \delta V_t) \cdot \bar{A}_t / \bar{A}_s] \cdot A_{si} - (V_{tbg\ i} + \delta V_t) \cdot A_{tbg\ i} \} / A_{tLi} \quad (10)$$

430 The summation in Equation (10) is over all pixels, i , in the region of interest (bounded by the
 431 perimeters in Figures 3). The individual pixel errors are computed independently for each term
 432 subscripted with i and are assumed, for simplicity, to be uncorrelated. Here w_i is the weighting
 433 factors based on the pixel area. The errors in the slant columns, S_i , were derived in the spectral
 434 fit and found to be consistent with the pixel-to-pixel spatial variability of slant columns. The
 435 terms δV_s and δV_t are modifications to Equation (1) and identify sources of systematic error,
 436 relatively independent of individual pixels. They stand for potential biases in the derived
 437 OMNO2 stratosphere and the GMI model troposphere, respectively. These terms, described
 438 below, have mean values of zero, but are given fixed finite uncertainties, independent of pixel
 439 area.

440
 441 Random errors at each pixel make a relatively small contribution to the total error budget. The
 442 random uncertainty in the OMI stratospheric column, V_{si} , is conservatively estimated to be
 443 $0.2 \times 10^{15} \text{ cm}^{-2}$ [Boersma *et al.*, 2004; Bucsela *et al.*, 2006]. The model column amount $V_{tc\ i}$ is
 444 assigned a random error of 40%, based on a set of clean profiles measured during TC⁴ and
 445 consistent with GMI model variability in the region of the TC⁴ study (see Figure 5). The same
 446 40% random error is assumed for $V_{tbg\ i}$, also from GMI. Errors in the AMFs depend on estimates

447 of cloud parameters, surface albedos, and a priori profile shape variability. They are computed
448 using an off-line algorithm [Wenig *et al.*, 2008] that improves on the OMNO2 collection 3
449 uncertainties. Of the error sources contributing the AMF uncertainty, the largest are associated
450 with clouds, which can shield or enhance the visibility of NO₂ and affect the NO_x photolysis
451 ratio. Uncertainty in the latter was not explicitly considered in this study.

452

453 The uncertainty in δV_s is based on an estimate of the potential error introduced by the wave-2
454 method used to derive the stratosphere in the OMI NO₂ algorithm. Other NO₂ satellite retrieval
455 algorithms employ the Pacific Reference Sector (PRS) method [e.g., Martin *et al.*, 2002; Richter
456 and Burrows, 2002], which assumes a constant stratospheric amount at each latitude based on
457 data over the central Pacific Ocean at that latitude. The DOMINO algorithm used to process
458 OMI NO₂ data for the Dutch near-real time product assimilates OMI slant columns into the TM4
459 model, weighting the data according to model estimates of tropospheric contamination [Boersma
460 *et al.*, 2007]. Our comparisons of these models show that stratospheric estimates at middle and
461 high latitudes can differ by as much as 0.5 to $1.0 \times 10^{15} \text{ cm}^{-2}$. At tropical latitudes, the
462 differences tend to be smaller—on the order of 0.1 to $0.2 \times 10^{15} \text{ cm}^{-2}$. Stratospheric fields from
463 both methods for the July 21 case are shown in Figure 5. For the 4 days examined in this study,
464 the PRS and wave-2 methods were tested and gave mean stratospheric values that varied by 0.01
465 to $0.11 \times 10^{15} \text{ cm}^{-2}$, with an average difference of $0.07 \times 10^{15} \text{ cm}^{-2}$. Both methods of
466 stratospheric field estimation can also be affected by the decision to cloud-screen the pixels used
467 to construct the field. We found that using only pixels from relatively clear skies (cloud radiance
468 fractions less than 50%) changed the resultant mean stratospheric value in the TC⁴ region by
469 $\sim 0.04 \times 10^{15} \text{ cm}^{-2}$. Combining the uncertainties introduced by cloud screening with those

470 associated with the PRS vs. wave-2 methods gave a potential systematic uncertainty of about 0.1
471 $\times 10^{15} \text{ cm}^{-2}$ (or about 4%) in the tropical stratosphere.

472

473 The systematic error in δV_t , representing a potential bias in the GMI troposphere over “clean”
474 regions, is hard to estimate. One approach is to use an alternative analysis based on a uniform
475 measured background, rather than the GMI no-lightning model values. To test this, we computed
476 a value for A_{IBG} by integrating a fixed composite profile, made up of a group of DC-8 measured
477 profiles that showed relatively small amounts of NO_2 in the region above 600 hPa, where most
478 LNO_2 is typically found. The vertical column obtained by integrating this profile from ground to
479 GMI-estimated tropopause pressure of 100 hPa is approximately $0.67 \text{ cm}^{-2} \pm 0.29$. This value is
480 considerably larger than the GMI background values for tropospheric NO_2 , which ranged from
481 0.2 to $0.5 \times 10^{15} \text{ cm}^{-2}$. The results of the fixed-background analysis yielded LNO_x values that
482 were less than zero in approximately 40% of the cases around the anvil and outflow regions,
483 indicating the uniform background to be an overestimate. Such a background is also inconsistent
484 with the GMI model, which indicates a variable background that decreases from north to south
485 across the region. Although the measured profiles used for the composite were selected from the
486 DC-8 profiles with the lowest mixing ratios, it is still possible that they contained some LNO_2
487 contamination. Because the experimental region experienced lightning every day, it was difficult
488 to find any air masses that were totally devoid of LNO_2 influence. Most of the background
489 profiles showed some increase in NO_2 with altitude in the upper troposphere, indicative of a
490 lightning contribution. Moreover, the mixing ratios in these measured profiles are near the
491 detection limit of the LIF instrument on the DC-8. For this reason, we have adopted the GMI
492 model output to represent the background NO_2 and have assigned these background vertical

493 columns a nominal systematic uncertainty of $\delta V_t = 0.15 \times 10^{15} \text{ cm}^{-2}$, which is on the same order
494 as the spatial variability of the GMI model background. Note that the precise value of this
495 systematic uncertainty is less critical than is the uncertainty in δV_s because the two terms in
496 Equation (4) containing δV_t partially cancel each other. Figure 6 shows the GMI no-lightning
497 background tropospheric columns based on a 2-year mean for July and August.

498

499 A large source of error in the computed moles of LNO_x results from the selection of the
500 geographic area of interest. One component is imprecise knowledge of the wind fields, which
501 makes the position of the regions' centers uncertain. We did not attempt detailed trajectory
502 analysis of the convective outflow in this study, given the difficulty in estimating convective
503 perturbations to the analyzed ambient winds during the few hours between storm development
504 and OMI overpass. Therefore, we have used the mean wind speed and direction in the vicinity of
505 the storm and immediately downwind from the 300 hPa NCEP analysis and the number of hours
506 between storm development and the OMI overpass to estimate the region affected by the
507 outflow. This region generally corresponded to the location of enhancements in LNO_x downwind
508 of the storm. Assuming the 10–15% variability of the analyzed winds from NCEP and lightning
509 occurring throughout a 12-hr period preceding the OMI overpass, we estimate the transport
510 distance along the mean wind vectors to have an error less than or equal to $\pm 0.3^\circ$ of latitude.
511 Adjusting the geographic positions of the regions by this amount along the wind vectors allows
512 us to estimate the sensitivity of the LNO_x calculation to the wind field.

513

514 Another uncertainty in the region selection is the size of each area. We estimate that storm-
515 outflow regions can be identified in the OMI NO₂ field to a resolution of approximately 1° and
516 have drawn the perimeters in each case accordingly (see Figure 4). From this we obtain the
517 approximate uncertainty in the enclosed areas following the approach of *Ghilani* [2000] and
518 uniformly expand and shrink the regions by the same amount to determine the effect on the
519 derived moles of LNO_x.

520

521 The combined effects of the uncertainties in the regions' areas and positions lead to uncertainties
522 in the computed number of moles on the order of 15–35%. The areal uncertainty makes the
523 largest contribution. Further uncertainties exist because of the possible contamination due to
524 LNO_x from neighboring convective systems, for which lightning counts were not available.
525 Although the region perimeters were drawn to minimize such contamination, nearby storms
526 potentially influenced the results for each day, except July 17. Because we did not estimate the
527 magnitude of this influence in this study, the moles LNO_x and moles per flash estimates we
528 obtained must be considered upper limits, and the uncertainties may be larger than those
529 indicated here.

530

531 The final source of error is uncertainty in the number of flashes that contribute to the LNO_x
532 enhancements. The flash-count error depends on the method used to obtain the counts. For the
533 July 31 case, the adjusted counts were obtained from the CRLDN and have an error of 10–20%.
534 In the other cases, the adjustment factor $\epsilon_w = 4.57$ used to scale the WWLLN has an uncertainty
535 of ± 1.66 , or 36%.

536

537 Table 2a summarizes the error sources in the calculation of LNO_x, and Table 2b shows their
538 contributions along with those of flash uncertainties to the overall error in each of the four cases.
539 The largest sources of error are the systematic error in the stratosphere and tropospheric
540 background over the region, as well as the region-selection error. The uncertainty in the flash
541 count rate makes a smaller contribution. The random variations can be large for a given pixel,
542 but are a small part of the error budget due to the statistical averaging of a large number of OMI
543 pixels. Although our calculation of the relative error is largest for the July 17 case, this case was
544 less affected by neighboring convection (which is not explicitly accounted for here) than by the
545 other days; consequently, the actual uncertainties on those days may be larger than shown.

546

547 **5.2 LNO_x from OMI in compared with TC⁴ aircraft data**

548

549 Here we compare the NO_x enhancement over background due to lightning as computed from
550 OMI with that estimated from the *in situ* DC-8 observations within and near the observed
551 convective systems. For times when either NO or NO₂ was missing from the aircraft data set, we
552 estimated it using a photostationary state calculation. Table 3 presents the means and standard
553 deviations of the in-cloud and nearby clear-air aircraft observations, the OMI LNO_x column
554 amounts, and the column amounts of NO_x in the tropospheric background as estimated by the no-
555 lightning GMI model. The aircraft enhancements are computed as the ratio of the in-cloud
556 measurements to the clear-air measurements. The LNO_x enhancement in the broader-scale
557 convective outflow (as seen by OMI) should be roughly proportional to the in-anvil enhancement

558 (as measured by the DC-8). The DC-8 data show enhancement factors due to lightning of
559 between 1.74 and 2.35. Enhancements in the OMI LNO_x column are calculated as the sum of
560 OMI + background, divided by background. The OMI enhancement factors are in the range of
561 1.43 to 2.14, and show day-to-day variations consistent with those of the DC-8 factors.
562 Therefore, the DC-8 observations verify the OMI-based LNO_x enhancement.

563

564 **5.3 Other studies of LNO_x production per flash**

565

566 The production efficiencies for LNO_x from the storms in this study range from <200 to ~600
567 mol/flash. The wide range is not surprising, given the range found in the literature and the large
568 uncertainties in the results. However, the mean value over the 4 cases of 368 mol/flash compares
569 well with the 360 mol/flash obtained by *Ott et al.* [2007] in their analysis of a mid-latitude storm.
570 The two lower values obtained in this study (for July 17 and 21) are comparable to the
571 production efficiencies obtained in tropical and subtropical storms by *Huntreiser et al.* [2008]
572 during the Brazilian Tropical Convection, Cirrus and Nitrogen Oxides Experiment
573 (TROCCINOX) experiment. Using total flash counts derived from LIS measurements,
574 *Huntreiser et al.* [2008] estimated production of 1–3 kg(N)/flash, which corresponds to ~70–200
575 mol/flash. They hypothesized that the smaller production rates for the lower latitude storms were
576 related to disparities in production by flashes at different latitudes, as we discuss below.

577 *Ott et al.* [2009] summarized analyses of five mid-latitude and subtropical storms simulated
578 using a 3-D cloud-scale model. The storms were observed during the STERAO, EULINOX, and
579 CRYSTAL-FACE field campaigns. They derived production efficiencies for CG flashes, based
580 on observations of the CG and IC flash rates and on comparisons of their model simulations with

581 aircraft observations of NO_x in the storms. They also compared their results to estimates of P_{CG}
582 from *Price et al.* [1997] and *Fehr et al.* [2004]. With the exception of the *Price et al.*'s [1997]
583 theoretical value of $P_{\text{IC}}/P_{\text{CG}} = 0.1$, most recent results indicate that IC and CG flashes produce
584 equal amounts of NO on average, in agreement with the recommendation of *Ridley et al.* [2005].
585 Therefore, for the purpose of comparing results of the present study with the P_{CG} and P_{IC}
586 estimates from *Ott et al.* [2009] and other studies, we adopt a value of unity for $P_{\text{IC}}/P_{\text{CG}}$. These
587 comparisons are shown in Figure 7 as a function of latitude and anvil-level wind speed. Although
588 there appears to be no universal relationship linking production per flash to latitude or anvil-level
589 wind speed, within regions or within particular experiments the larger production per flash
590 values are associated with the stronger upper-level winds.

591
592 The average number of moles per flash over the four cases from the present study of tropical
593 convection (~ 350) is lower than the ~ 500 mol/flash average derived from the mid-latitude and
594 subtropical storms of the *Ott et al.* [2009] study. *Ott et al.* extrapolated the 500 mol/flash to
595 estimate global LNO_x production at $8.6 \text{ Tg (N) yr}^{-1}$, which is near the high end of the range of 2
596 to 8 Tg (N) yr^{-1} from *Schumann and Huntrieser* [2007]. They suggest the high value may be due
597 to neglect of tropical storms in their study. The lower production rates of the present TC^4 study
598 are consistent with the hypothesis that tropical storms produce, on average, less NO_x per flash
599 than do storms at higher latitudes. A possible mechanism is that flashes in tropical thunderstorms
600 are less productive than flashes in mid-latitude storms. In general, the LNO_x production rate for a
601 given flash depends on the intensity of the flash, the flash length, and the pressures at which the
602 flash occurs. Although a greater fraction of a CG flash occurs at higher pressure than an IC, this
603 effect may be counterbalanced, in mid-latitude storms by the longer IC flash lengths (*Ott et al.*,

604 2007; 2009), leading to near equal LNO_x production per flash for IC and CG flashes. *Huntreiser*
605 *et al.* [2008] hypothesize that flash lengths in mid-latitudes and subtropics are greater than flash
606 lengths in the tropics because of greater vertical wind shear at the higher latitudes—leading to
607 greater LNO_x production per flash outside of the tropics. The storms of July 17 and 21 had
608 production efficiencies (averaged over IC and CG flashes) consistent with the tropical and
609 subtropical TROCCINOX analysis of *Huntreiser et al.* [2008], but for the storms of July 31 and
610 August 5 we have derived larger values. Anvil-level winds were stronger in the 300 hPa NCEP
611 reanalysis fields for July 31 and August 5 than for July 17 and 21, suggesting possible longer
612 flash lengths in these cases, with greater LNO_x production per flash. It is also possible that
613 contamination from nearby convection (not included in the flash counts) may have contributed to
614 the larger LNO_x amounts on those days, but this may have also been the case for one of the days
615 with low LNO_x production rate (July 21).

616

617 **5.4 Flash footprints**

618

619 Further evidence for the effects of wind shear may be seen in the LIS data, which can be used to
620 obtain information on the extent of lightning flashes. The LIS sensor operates as a lightning
621 event detector on a charge coupled device (CCD). An event is defined as the occurrence of a
622 single CCD pixel exceeding the background threshold during a single frame. Because a single
623 pixel will almost never correspond to the exact cloud illumination area, a lightning discharge will
624 often illuminate more than one pixel during a single integration time. The result is two or more
625 events that are clustered in space and time (groups). A lightning flash may also correspond to

626 several related groups in a limited area [*Christian et al.*, 1994]. Integrating the area of all CCD
627 pixels involved in a flash provides the “footprint” of the flash [*Boccippio et al.*, 1998], which can
628 be interpreted as its horizontal extent.

629

630 LIS viewed only one of the four storms analyzed here (July 21). Figures 8a and 8b show,
631 respectively, the flash rate density and the event rate density of the July 21 case. It can be seen
632 that all convective cores of the cloud (orange tones in Figure 1b) produced flashes, at a rate up to
633 7.46 flashes $\text{km}^{-2} \text{s}^{-1}$ on the north cell. Although only a few flashes were detected in the center of
634 the storm, the event rate density shows that area illuminated by those flashes corresponds to a
635 fairly large extent of the convective cores, delineating the sum of flash footprints. The statistics
636 of individual flash footprints of the July 21 case is presented in Figure 8c, and is compared to the
637 statistics of all LIS flashes recorded throughout the tropics (35°S to 35°N) during the boreal
638 summer (June, July and August) of 2007 (Figure 8d). Note that the distribution for the July 21
639 storm north of Colombia is skewed toward smaller footprint sizes ($<556 \text{ km}^2$) compared with the
640 nearly perfect Gaussian distribution for 2007 boreal summer. Assuming that the LIS footprint
641 can be considered a proxy for flash length, this result suggests that there was a greater frequency
642 of short flashes for this storm than is typical for this latitude band. The small magnitude of the
643 LNO_x production per flash obtained from our analysis of OMI NO_2 data for this storm, combined
644 with the weak upper tropospheric wind speeds and the smaller LIS footprint, supports the
645 *Huntrieser et al.* [2008] hypothesis.

646

647 **6. Conclusions**

648

649 We have developed an algorithm to retrieve realistic LNO_x signals from OMI. Improvements
650 over the standard retrieval include a more exact treatment of the stratospheric NO₂ column and
651 an improved cross-track anomaly correction. To customize the retrieval for LNO_x, we have
652 removed background tropospheric NO₂ column amounts using the GMI model, and used an
653 AMF appropriate for a profile shape characteristic of convective outflow (based on TC⁴ aircraft
654 observations). The technique has been applied to four TC⁴ flight day convective events occurring
655 over the ocean offshore from Costa Rica, Panama, and Colombia. Combining these TC⁴ data
656 with flash observations, we estimate LNO_x production per flash for each of the selected cases.
657 Preliminary results show that LNO_x production per flash was in the 400–600 mol range for two
658 cases with stronger upper level winds, and 100–200 mol for two cases with weaker anvil-level
659 transport, suggesting that tropical LNO_x values can be at or below those found at higher
660 latitudes. Flash footprint size information from the LIS instrument suggests that for the storm
661 with the smallest LNO_x production per flash estimate the flash lengths were shorter than is
662 typical. The enhancement due to LNO_x above background levels determined using OMI NO₂
663 data is in agreement with the enhancement seen in *in situ* anvil NO_x observations over
664 background observations taken by the DC-8 aircraft in TC⁴, thereby providing validation of the
665 LNO_x retrieval method.

666

667

668

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670

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674

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795

796 **Figure Captions**

797

798 **Figure 1:** Partial DC-8 flight tracks in the vicinities of storms sampled on (a) July 17, (b) July
799 21, (c) July 31, and (d) August 5, 2007 during the TC⁴ mission superimposed on Geostationary
800 Operational Environmental Satellite (GOES-10/12) color-enhanced infrared images. Insets show
801 the pressure altitude during flight.

802

803 **Figure 2:** Profiles involved in AMF calculations in this study, (a) r_{BG} = background NO₂, r_{LNO_2} =
804 lightning NO₂, (b) a = atmospheric scattering weight, β = temperature correction factor, γ = three
805 profiles representing the [NO₂]/[NO_x] ratio. The profiles r_{LNO_2} and r_{BG} are fixed. All others
806 depend on pixel location (typical examples are shown here).

807

808 **Figure 3:** OMI effective geometrical cloud fraction (at the time of OMI overpass) on the four
809 dates in this study (a) July 17, (b) July 21, (c) July 31, and (d) August 5, 2007. The polygons
810 outline regions examined for enhanced NO₂ due to lightning.

811

812 **Figure 4:** Vertical column densities of LNO_x inferred from OMI data, for (a) July 17, (b) July
813 21, (c) July 31, and (d) August 5, 2007. The polygons outline regions examined for enhanced
814 NO₂ due to lightning.

815

816 **Figure 5:** Corrected stratospheric field, estimated from OMI data for July 21, 2007 (a) using the
817 planetary-wave analysis up to wave-2, and (b) using the PRS method. Both fields have been

818 corrected by subtracting a model GMI tropospheric background, equal to approximately 5% of
819 the stratospheric column value.

820

821 **Figure 6:** Tropospheric background computed from the GMI model (with the lightning source
822 turned off) for July and August. Asterisks mark the locations of DC-8 NO₂ profile
823 measurements, taken over several TC⁴ days, and used to construct the background profile that we
824 compare with the GMI model (see text).

825

826 **Figure 7:** Mean LNO_x production, P_{IC+CG}, for all lightning flashes produced by storms analyzed
827 in TC⁴, compared with those of previous studies. Colors indicate approximate wind speeds in the
828 upper troposphere.

829

830 **Figure 8:** . LIS (a) flash rate density (flashes km⁻² s⁻¹) and (b) event rate density (events km⁻² s⁻¹),
831 gridded in 0.1° × 0.1°, prior to the OMI overpass, on the July, 21, 2007 case. The light gray
832 shaded area corresponds to LIS field of view during this orbit passage. Frequency of occurrence
833 of flash footprints during LIS observations of (c) the July, 21, 2007 case, and (d) 2007 boreal
834 summer (June, July, August – JJA).

835

836

837

838 **Tables**

839

840 **Table 1:** Summary of LNO_x measurement results.

Date	Region	Area (10 ³ km ²)	300 hPa Winds (Direction, m/s)	LNO _x (kmol)	Lightning Flashes	P _{IC+CG} (mol/flash)
July 17	South of Panama/CR	160	ENE 4	907	4931	184 ±285
July 21	NW coast of Colombia	194	W 2 (north side) E 2 (south side)	2972	20515	145 ±132
July 31	SW of Costa Rica	478	E 8	9022	14190	636 ±372
August 5	W coast of Colombia	246	NE 14	4572	10388	430 ±304

849

850

851 **Table 2a:** LNO_x in each region and contributions to the error budget.

Date	Value (kmol)	Random Error (kmol)	Systematic Strat, Trop Error (kmol)	Region-selection Error (kmol)	Combined Error (kmol)
July 17	907	±402	±1291	±205	±1367
July 21	2972	±525	±2409	±344	±2491
July 31	9022	±756	±4493	±2303	±5105
August 5	4472	±497	±2319	±1336	±2722

859

860

861 **Table 2b:** LNO_x and flash-count errors and their contribution to production-efficiency error.

Date	LNO _x (kmol)	Lightning Flashes (IC + CG)	LNO _x Production P _{IC+CG} (mol/flash)
July 17	907 ± 1367	4931 ± 1775	184 ± 285
July 21	2972 ± 2491	20515 ± 7385	145 ± 132
July 31	9022 ± 5105	14190 ± 2129	636 ± 372
August 5	4572 ± 2722	10388 ± 3740	430 ± 304

869

870

871 **Table 3:** Lightning NO_x enhancement factors

Date	NO _x (pptv) DC-8 in-cloud	NO _x (pptv) DC-8 clear sky	Enhancement Factor	OMI LNO _x (10 ¹⁵ cm ⁻²)	NO _x Background (10 ¹⁵ cm ⁻²)	Enhancement Factor
July 17	110	60*	1.83	0.318 ± 1.099	0.743 ±0.159	1.43
July 21	538	309	1.74	0.860 ± 1.507	1.434 ± 0.275	1.60
July 31	876	375	2.34	1.275 ± 1.408	1.157 ± 0.356	2.10
Aug 5	357	152	2.35	1.052 ± 1.473	0.921 ± 0.155	2.14

880 *Taken from GMI model because of a lack of clear-sky observations unaffected by storm outflow or pollution
881 plumes

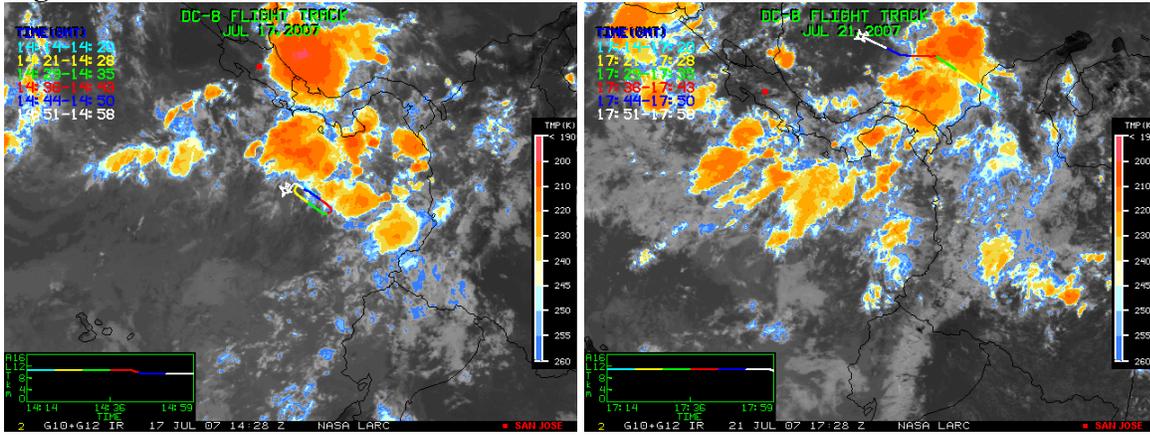
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884 **Figures**

885

886 **Figure 1**

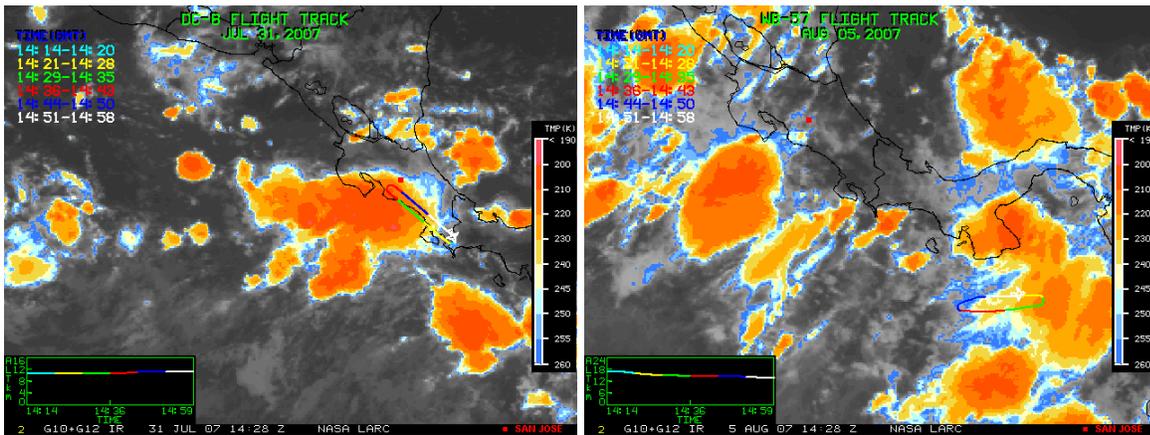


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(a)

(b)



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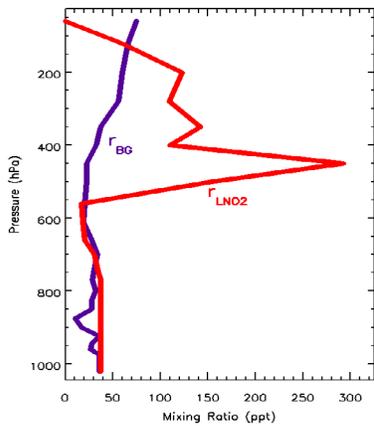
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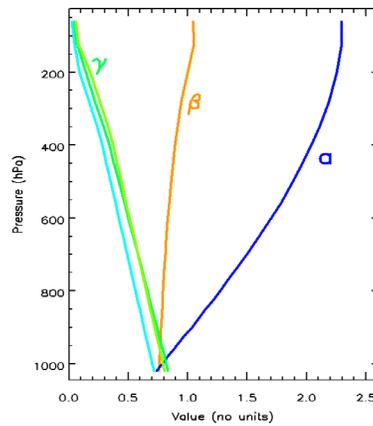
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892 **Figure 2**



(a)



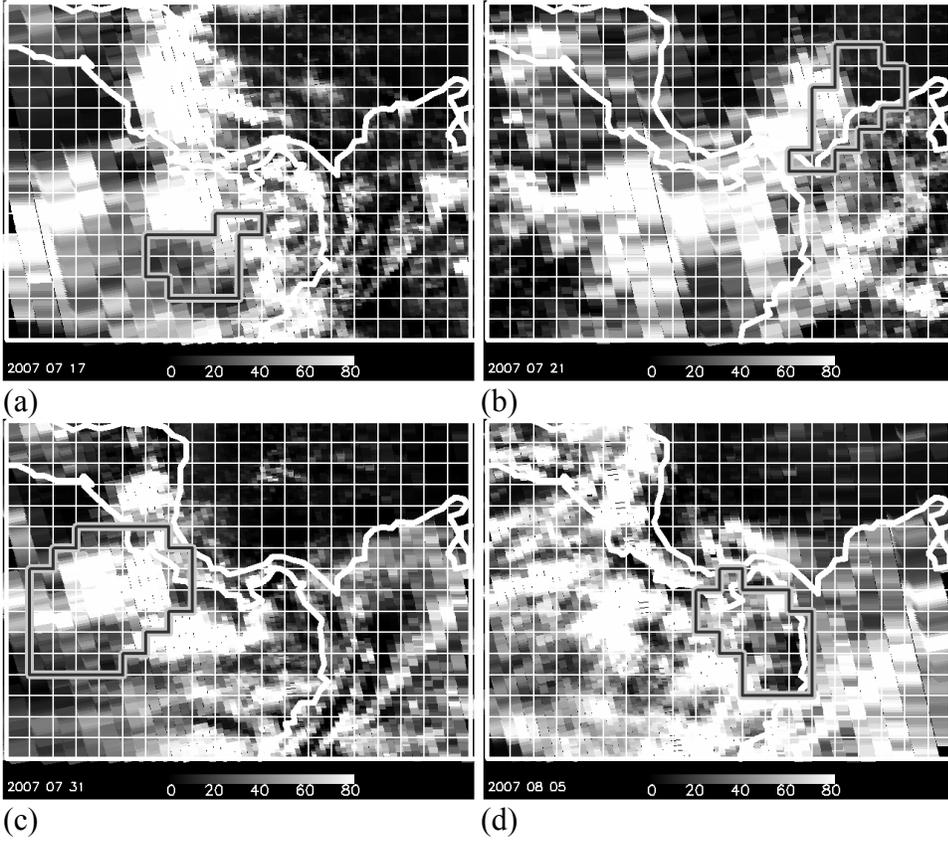
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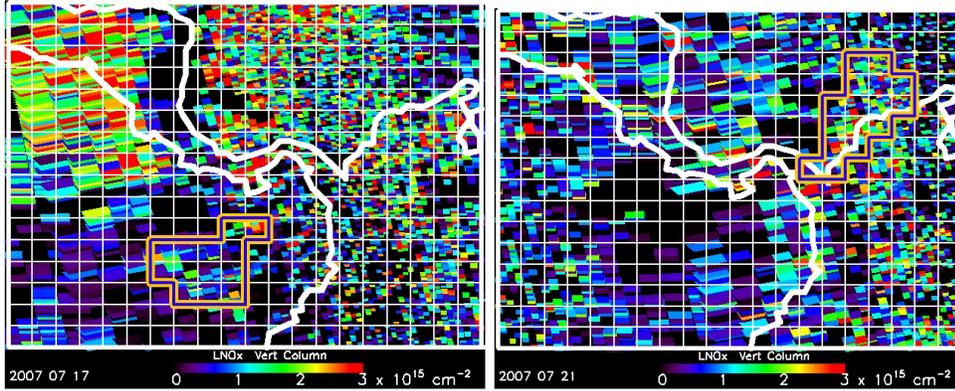
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Figure 3

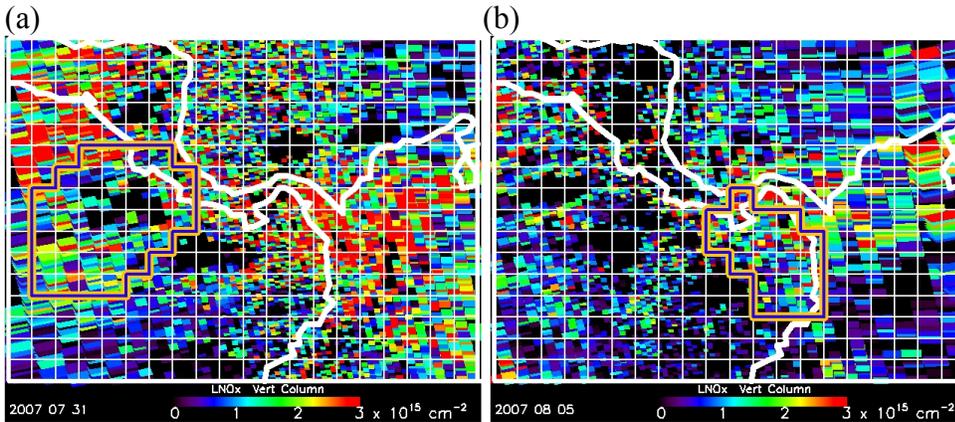


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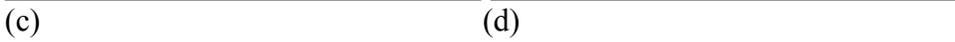
Figure 4



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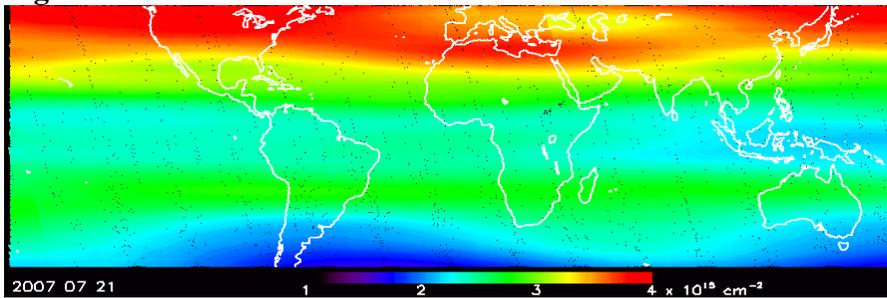


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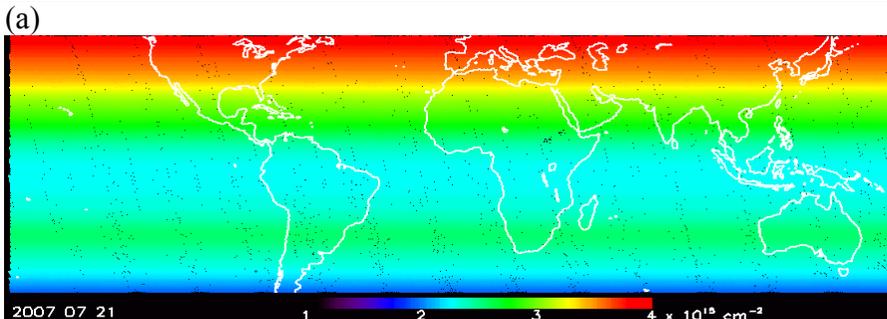


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Figure 5

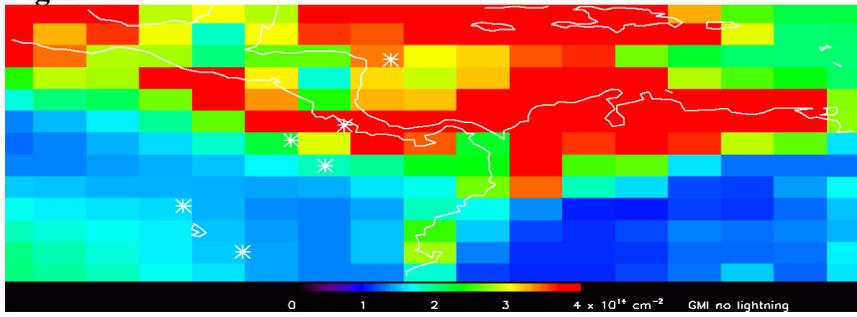


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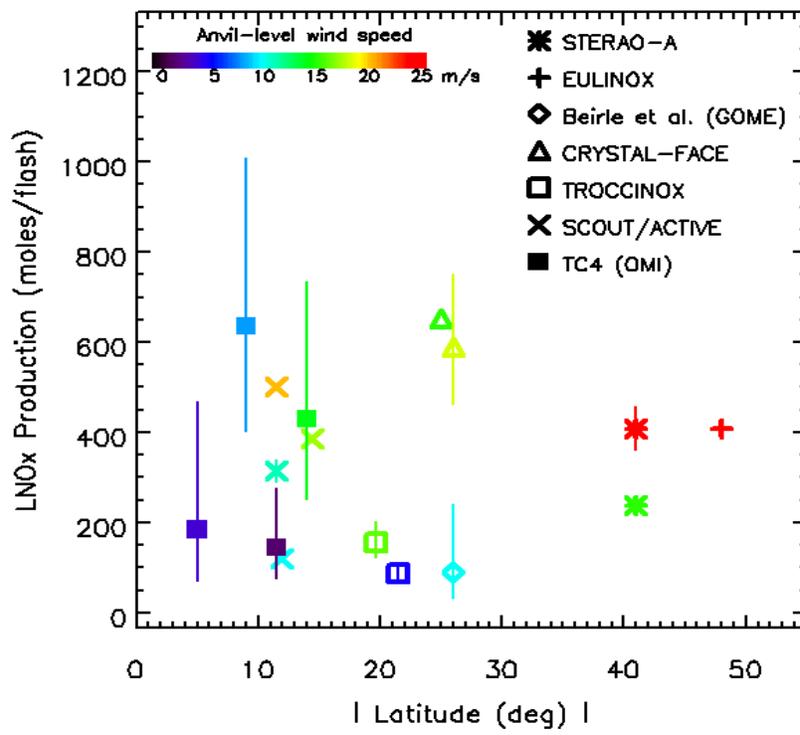
915 **Figure 6**



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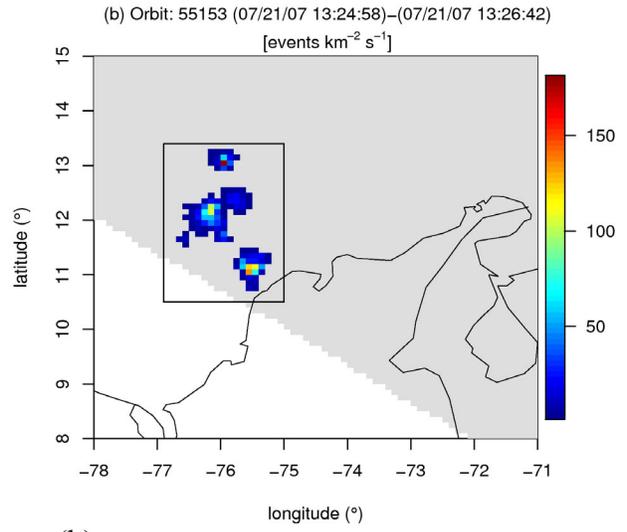
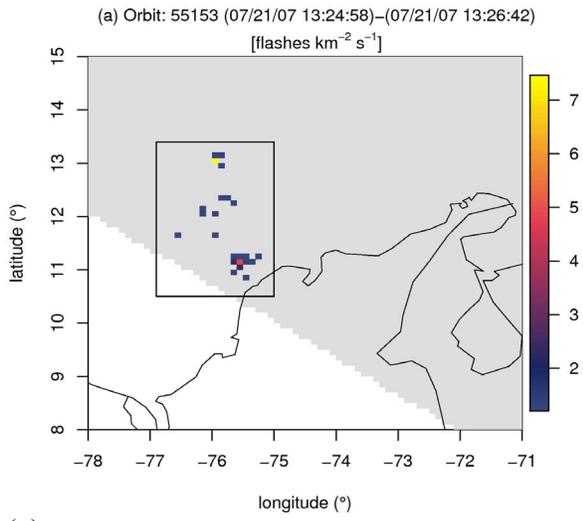
918 **Figure 7**



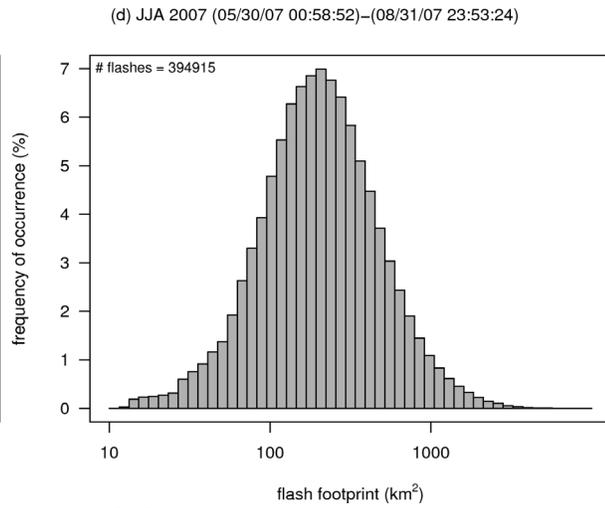
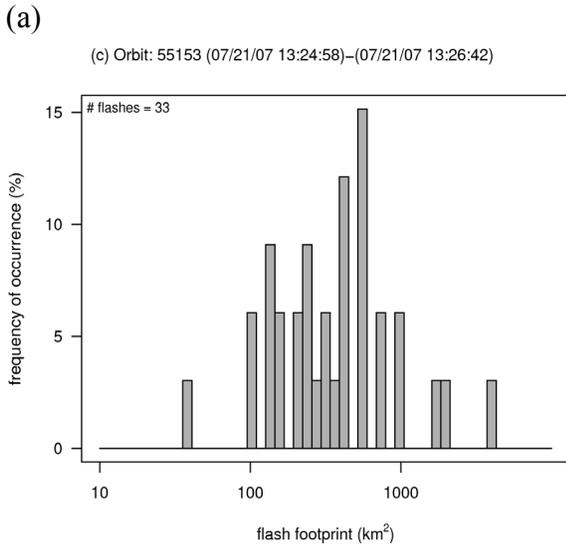
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921 **Figure 8**
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