

1 **GOES-12 Observations of Convective Storm Variability and Evolution During the**
2 **TC4 Field Program**

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28 **Abstract**

29 This study characterizes convective storms that occurred during the Tropical
30 Composition, Clouds and Climate Coupling Experiment as observed within
31 Geostationary Operational Environmental Satellite (GOES) imagery. Overshooting
32 deep convective cloud tops (OT) that penetrate through the tropical tropopause layer
33 (TTL) and into the stratosphere are of particular interest in this study.

34 The results show clear differences in the areal coverage of anvil cloud, deep
35 convection, and OT activity over land and water and also throughout the diurnal cycle.
36 The offshore waters of Panama, northwest Colombia, and El Salvador were the most
37 active regions for OT-producing storms. A convective cloud object tracking system is
38 used to monitor the duration and areal coverage of storm complexes as well as the time
39 evolution of their cloud-top microphysical properties. The mean lifetime for these
40 complexes is 5 hours with some existing for longer than 20 hours. Deep convection is
41 found within the anvil cloud during 60% of the storm lifetime and covered 24% of the
42 anvil cloud. The cloud-top height and optical depth at the storm core followed a
43 reasonable pattern with maximum values occurring 20% into of the storm lifetime. The
44 values in the surrounding anvil cloud peaked at relative age of 20-50% before
45 decreasing as the convective system decays. Ice particle diameter decreased with
46 distance from the core but generally increased with storm age. These results, which
47 characterize the average convective system during the experiment, should be valuable
48 for formulating and validating convective cloud process models.

49 **1. Introduction**

50 Recent observations have shown that stratospheric water vapor has been
51 increasing over at least the last half century [*Oltmans et al.*, 2000; *Rosenlof et al.*,
52 2001], so understanding its sources and sinks is crucial for climate change studies. The
53 2007 Tropical Composition, Clouds and Climate Coupling Experiment (TC4) in Costa
54 Rica was designed to address a number of questions related to the interactions among
55 convection, clouds, and humidity in the tropical tropopause layer (TTL) and lower
56 stratosphere [*Toon et al.*, 2009]. Changes in water vapor within the TTL can play an
57 important role in modulating the climate since water vapor is the most powerful
58 greenhouse gas in the atmosphere. Understanding how water behaves in the TTL is
59 one key to better understanding the impacts of greenhouse gases on global climate
60 change.

61 Overshooting deep convective cloud tops that penetrate through the TTL and into
62 the stratosphere have been recognized as a significant source of lower stratospheric
63 water vapor. For example, by analyzing aircraft measurements, *Dessler*, [2002]
64 demonstrated that up to 60% of the water vapor crossing the 380 K potential
65 temperature surface at ~ 17 km was detrained above 15 km. In a later study employing
66 airborne measurements, *Corti et al.*, [2008], showed that ice particles from overshooting
67 tops reached as high as 18.8 km. *Gettelman et al.* [2002] used satellite data to estimate
68 that overshooting tops cover ~0.5% of the Tropics and penetrate up to 1.5 km into the
69 stratosphere. *Setvak et al.* [2008] employed satellite radiances to show that mid-latitude
70 convective storms also inject some of their water vapor into stratosphere. These and
71 other empirical results are consistent with a variety of modeling studies (e.g., *Wang*

72 [2003], *Jensen et al.* [2007], *Chemel et al.* [2008]) that estimate the moisture balance of
73 the TTL and lower stratosphere in the presence of overshooting convection.

74 The water vapor and ice crystals introduced into the TTL by overshooting
75 convection are thought to be responsible for the thin, often subvisible, cirrus above 14
76 km in the Tropics (e.g., *Wang et al.* [1996], *Liu* [2007]). These clouds, which are
77 characterized as having very small ice crystals (e.g., *Wang et al.* [1995]), are thought to
78 form from a combination of effects including the direct injection of ice crystals and, more
79 indirectly, the convective generation of gravity wave pulses that induce pileus clouds
80 above the main convective cloud tops [*Garrett et al.*, 2006]. Fujita [1982] described
81 these pileus clouds as “above anvil cirrus plumes.” *Wang* [2007] used a cloud model to
82 show that breaking gravity waves atop a deep convective storm can cause some water
83 vapor to detach from the storm cloud and remain in the stratosphere. This water vapor
84 can condense to form a cloud at levels up to 3 km above the anvil [*Levizzani and*
85 *Setvak*,1996]. The above anvil cirrus clouds can extend over 100 km away from the
86 overshooting source region (see Figure 1). However, the mechanisms that maintain
87 these thin TTL clouds remain elusive.

88 Tropical convection is a diurnally driven phenomenon and, so too are the
89 overshooting tops (OT) of deep convective clouds. It has been shown by many
90 researchers [e.g., *Short and Wallace* [1980], *Minnis and Harrison* [1984], *Alcala and*
91 *Dessler* [2002], *Liu and Zipser* [2005], *Liu et al.* [2008]) that the deepest convection over
92 tropical land areas peaks during the late afternoon and, over ocean, during the 6 hours
93 after local midnight. Because TC4 was designed to examine the interactions of
94 convection and the TTL, but was limited logistically to flights only during daytime, the

95 aircraft measurements did not sample the complete diurnal cycle. Most flights ended at
96 or before 1600 LT, with only one mission extending to 1700 LT [Toon *et al.*, 2009]. In
97 addition to missing a large portion of the diurnal cycle of convection, the aircraft also
98 sampled only a small portion of each storm system that was encountered during the
99 flights. To fill in the diurnal cycle and provide a large-scale characterization of the
100 convection over the TC4 domain, it is necessary to employ geostationary satellite
101 measurements. Although they do not provide the fine detail available from the in situ
102 and remote sensing measurements aboard the aircraft, satellite measurements can be
103 used to infer much about the context and broader implications of the aircraft data.
104 *Minnis et al.* [2009a] provided a general overview of the clouds observed from
105 geostationary satellites during TC4, but did not focus specifically on the properties of the
106 convective systems over the diurnal cycles or lifetimes of the storms. Such information
107 is important for obtaining a more comprehensive picture of TTL-convection interactions
108 and for guiding modeling studies of tropical convection.

109 The purpose of this paper is to characterize deep convective storms that
110 developed during the TC4 experiment as observed by the Twelfth Geostationary
111 Operational Environmental Satellite (GOES-12). We seek to determine 1) the locations
112 of frequent OT activity throughout the diurnal cycle, 2) the diurnal variability of anvil
113 cloud, deep convective cloud, and OT areal coverage, and 3) the characteristic size and
114 duration of individual thunderstorm complexes, and 4) the temporal evolution and
115 spatial variability of satellite-derived cloud top properties throughout the anvil cloud
116 during the storm lifetime. A technique exploiting the water vapor and infrared window
117 bands of GOES-12 [Setvak *et al.* 2007] is used here to detect OTs as this method

118 provides a direct inference of moisture transport into the TTL. The following sections
119 describe the datasets and methodology used to conduct this study in addition to the
120 primary results.

121

122 **2. Data and Methodology**

123 Signatures in multispectral weather satellite imagery indicate the presence of
124 overshooting tops and moisture transport into the TTL. Overshooting tops (OTs) exhibit
125 a lumpy or “cauliflower” textured appearance in visible and near-infrared channel
126 imagery as they can be up to 2 km higher than the surrounding anvil cloud [*Heymsfield*
127 *et al.*, 1991]. OTs are also inferred through the presence of a small cluster of very cold
128 brightness temperatures (BTs) in the $\sim 11 \mu\text{m}$ infrared window (IRW) region. OTs
129 continue to cool at a rate of $7\text{-}9 \text{ K km}^{-1}$ as they ascend into the lower stratosphere,
130 causing them to be significantly colder than the surrounding anvil cloud temperature
131 [*Negri* 1982; *Adler et al.* 1983; *Bedka et al.* 2009].

132 The WV-IRW BTD technique, which employs the difference between the 6 - 7 μm
133 water vapor (WV) channel BT minus the 11- μm IRW channel BT, to objectively detect
134 OT clouds has been described extensively [*Fritz and Laszlo*, 1993; *Ackerman*, 1996;
135 *Schmetz et al.*, 1997; *Setvak et al.*, 2007; *Martin et al.*, 2008]. Generally, the brightness
136 temperature difference (BTD) between these two channels results in a value below
137 zero, since the WV channel weighting function usually peaks at higher altitudes and at
138 lower temperatures than that of the IRW channel. Positive values of this BTD are
139 shown to correspond to OTs. The reasons for this correlation are that: 1) the
140 atmospheric temperature profile warms with height in the lower stratosphere, 2) water

141 vapor is forced into the lower stratosphere at levels above the physical cloud top by the
142 overshooting storm updraft, 3) this water vapor emits at the warmer stratospheric
143 temperature whereas emission in the IR window channel originates from the colder
144 physical cloud top, 4) positive differences between the warmer WV and colder IRW BTs
145 can therefore reveal where overshooting is occurring. The aforementioned literature
146 describing the WV-IRW BTD method indicates that the required WV-IRW BTD threshold
147 for OT detection can vary depending upon satellite instrument spatial resolution and
148 spectral channel coverage, intensity of the convective updraft, stratospheric lapse rate,
149 and water vapor residence time in the stratosphere. For 4-km GOES imagery, a BTD
150 value ≥ 1 K is shown to relate to the presence of overshooting [Martin *et al.*, 2008],
151 whereas a larger value (2-3 K) is a better indicator of overshooting for higher resolution
152 imagers [Setvak *et al.*, 2007].

153

154 **2.1 Data**

155 To characterize the diurnal variability of the convective clouds, data from half-
156 hourly, 4-km GOES-12 imagery are analyzed over a sub-region of the greater TC4
157 experiment satellite domain [Minnis *et al.*, 2009a] extending from 3-18° N latitude and
158 77-92° W longitude. The 22-day time period, 18 July to 8 August 2007, analyzed in this
159 study encompasses all of the TC4 tropical flight days. The 10.7- μm IRW channel pixel
160 BTs are used to: 1) determine anvil cloud and deep convective cloud domain fractional
161 coverage and 2) define cloud objects that are tracked from the time of first storm
162 detection until decay. The corresponding 6.5- μm WV channel BTs are used to compute
163 the WV-IRW BTD, which defines OT locations for this study. The GOES-12 data used in

164 this study were provided by the University of Wisconsin-Madison Space Science and
165 Engineering Center.

166 Cloud properties retrieved from the daytime GOES-12 imagery are used to
167 characterize the micro- and macrophysical variations of the TC4 storms as they grow
168 and decay. The parameters of interest are the cloud-top height Z_t , optical depth COD,
169 ice crystal effective diameter D_e , and the ice water path IWP. Their values were
170 retrieved by *Minnis et al.* [2009a] using the Visible-Infrared-Shortwave-infrared
171 Technique (VIST), a variant of the four-channel method of *Minnis et al.* [2009b]. The
172 post-experiment retrievals were used here. Since the VIST uses the 3.9- μm channel to
173 retrieve D_e , the values represent the ice crystal sizes only in the upper part of the
174 clouds. The IWP was computed as a function of D_e and OD. The method of *Minnis et al.*
175 [2008] was used to estimate cloud-top height from IRW BT for optically thick clouds.
176 More details of the retrievals and their errors can be found in *Minnis et al.* [2009a] and
177 *Yost et al.* [2009].

178

179 **2.2 Methodology**

180 The satellite-observed characteristics of deep convection present during the TC4
181 experiment are investigated in two ways. The first method involves analysis of the
182 fractional coverage of anvil cloud, deep convection, and overshooting top over the TC4
183 sub-domain described in the previous section. The purpose of this analysis is to
184 characterize the spatial extent of deep convection and anvil cloud as well as to examine
185 the diurnal and spatial variability of convective activity during TC4. Anvil cloud is
186 defined by GOES-12 10.7 IRW BTs of 215-230 K, with deep convective cloud being

187 colder than 215 K. Overshooting-top pixels are identified as those having WV-IRW
188 BTDs ≥ 1 K. The total number of anvil, deep convection, and overshooting-top pixels
189 are computed for each of the 48 images and the fractional coverage is computed by
190 dividing these values by the total number of GOES-12 pixels in the domain. A set of
191 “extremely deep convection” pixels are also defined with IRW BTs ≤ 200 K, which help
192 to better interpret the domain areal coverage results. A 1-km terrain map is interpolated
193 to the 4-km GOES-12 resolution and navigation and is used to separate land and ocean
194 to understand differences in convective activity over these two surfaces.

195 Individual deep convective clouds are also defined as objects and are objectively
196 tracked to determine convective cloud complex lifetime, duration of deep convection,
197 and maximum cloud areal coverage. The Warning Decision and Support System,
198 Integrated Information (WDSS-II, [Lakshmanan *et al.*, 2007]) is the tool used here to
199 define and track cloud objects. The component of WDSS-II used in this study employs
200 a hierarchical K-Means clustering method to identify storm objects at a user specified
201 minimum spatial scale. Object motion estimation is done via pattern matching using a
202 cross-correlation based technique. A Kalman filter is used to provide a smooth field of
203 object movement estimates over time. *Lakshmanan et al.* [2003] provide a detailed
204 description of the WDSS-II object definition and tracking methodology.

205 For this study, a “deep convective cloud” object is defined as a contiguous 25
206 pixel minimum area with IRW BTs ≤ 215 K. Objects are assigned an identification (ID)
207 number by WDSS-II and object properties associated with this ID number are tracked
208 from the time of first object detection until decay. Decay occurs when the object no
209 longer has a sufficient area of BT ≤ 215 K. It is possible that, within the same convective

210 storm complex and anvil cloud shield, one storm cell may be decaying and warm while
211 another is developing nearby. Since a 215 K threshold is used to define deep
212 convection objects, the WDSS-II system could possibly define these two cells as two
213 objects with differing ID numbers, even though the two cells may have some relation to
214 and interaction with each other.

215 Since a goal of this study is to document the characteristics of convective storm
216 complexes during TC4, a second larger set of objects is defined that encompasses the
217 deep convection and portions of the surrounding anvil cloud. A contiguous area having
218 more than 50 pixels with IRW BTs ≤ 225 K is used to define these “anvil cloud” objects.
219 Though this may seem inconsistent with the anvil cloud BT definition (215-230 K) used
220 in the aforementioned domain areal coverage computation, experience with the WDSS-
221 II indicates that a warmer threshold would often allow anvil clouds from distant storms to
222 merge, producing unnaturally large objects that do not represent a single convective
223 storm complex. When two or more deep convective cloud objects are found within one
224 larger anvil object at the same time, the areal coverage of the two deep convection
225 objects are combined. Characteristics associated with the object ID of the larger anvil
226 object are monitored to maintain the time series throughout the lifetime of the storm
227 complex.

228 The next component of this analysis involves analysis of the temporal evolution
229 and spatial variability of the VIST cloud properties. The analysis begins by finding the
230 location of the minimum IRW BT in the anvil cloud object, which is considered the “core”
231 of the convection. Only the coldest of all objects is considered if more than one deep
232 convection object is found within an anvil cloud object. The mean cloud top height, ice

233 crystal effective diameter, ice water path, and optical depth are computed in a 3x3 pixel
234 box surrounding the core. These values, rather than single-pixel values at the core,
235 areas recorded for the 0 km radius data point. The next step is to analyze the cloud
236 properties at increasing radius from the core. The mean cloud properties are computed
237 within 10-km wide concentric rings at 10-km radius intervals from the storm core out to a
238 100 km radius. For example, the first ring would include pixels at a distance between 5
239 and 15 km, the second would include those from 15 to 25 km, continuing out to 100 km.

240 We repeat the above process for each image where the anvil object is present in
241 the imagery. As storm complexes can exist for a wide range of time periods (1 to 12 or
242 more hours), the time of each image where a storm is present is normalized to a
243 number between 0 and 10, with 0 corresponding to the time of first detection and 10
244 corresponding to the last image before storm decay. For a storm with a lifetime of 6
245 images, the VIST properties from the first image are assigned to time bin 0 and those
246 from the last image are assigned bin 10. The four remaining images are assigned to
247 bins, 2, 4, 6, and 8, respectively, as the storm had lived 20, 40, 60, and 80% of its
248 lifetime at each of these intervals. If a storm is present for longer than 11 images 30-
249 min images (i.e. 5 hours), then VIST properties from multiple images could be assigned
250 to the same bin. In this case, the data are averaged so that one data point resides in
251 each bin. Included in this analysis are anvil cloud objects with a duration of ≥ 3 hours
252 that existed at solar zenith angles $\leq 76^\circ$. These criteria limit our sample size to 127 out
253 of the 877 total (to be described later) anvil cloud objects. The 76° criterion is found to
254 minimize biases in low light conditions within the VIST algorithm. After the object
255 lifetime is normalized, the average properties of all 127 objects are computed at each of

256 the 11 time intervals and the 11 radii to form a composite that shows cloud temporal
257 evolution and spatial variability throughout the storm lifetime.

258

259 **3. Results and Discussion**

260 **3.1 Distribution and areal coverage of deep convection**

261 The average diurnal variations in the domain-wide fractional coverage of anvil
262 cloud, deep convective cloud, extremely deep convective cloud, and overshooting top
263 are shown in Figure 2. Over land, the anvil, deep convection, and overshooting top
264 pixels occupy the maximum area within an hour of 1800 (6 PM) local time (LT)
265 Extremely deep convection and OT activity peaks at 1715 LT and the areal expansion
266 of deep convective cloud continues for an hour until 1815 LT. As the storms weaken,
267 the cloud tops warm, but convectively-induced momentum still causes the anvil cloud to
268 expand further until it reaches its peak extent 1 hour later, on average. The pattern of
269 OT activity mirrors that of the deep convective cloud rather than the extreme
270 convection, as an OT can have an IRW BT > 200 K. A secondary maximum in
271 extremely deep convection occurs between 1900 and 2100 LT while deep convection is
272 seemingly dissipating. This will be examined in more detail below.

273 Over water, there is a clear maximum in extremely deep convection and OT
274 activity during the night and early morning hours. The pattern shown by the OT line
275 would suggest that development of intense storms occurs near 0000 LT (12 AM) and
276 increases in coverage through 0600 LT. As the storms continue to develop, the deep
277 convective cloud expands to peak area near 0900 LT. As these storms dissipate after
278 0900 LT, the convectively-induced momentum continues to expand the cloud while it

279 decays and warms into the BT range classified as anvil cloud. The anvil cloud area
280 peak lags that of the deep convection by 5 hours, which is longer than the lag observed
281 over land (2 hrs). The increase in convection in coastal regions over land during the
282 early afternoon is likely producing anvil cloud over the offshore waters. This fact, in
283 combination with the still expanding and dissipating anvil over water, may be biasing the
284 peak toward a later time than would occur in the absence of a convectively-active land
285 region.

286 Analysis shows that 54.4% (68.1%) of land (water) OTs were present during the
287 9 PM to 9 AM LT period. The OT occurrences are proportionately distributed over land
288 and ocean; 17.8% of the total OT activity occurred over land, which covers 20.4% of the
289 domain. The greatest areal coverage of OTs over the study domain was present on 4
290 August and the lowest coverage was present on 24 July.

291 To facilitate interpretation of the patterns shown in Figure 2, Figure 3 shows the
292 locations of OTs detected during the afternoon, evening, early morning, and late
293 morning hours. During the afternoon, Fig. 3a shows that OT activity is most
294 concentrated throughout the entirety of the Panama land mass and over interior regions
295 of Costa Rica and Nicaragua. A significant concentration of OT activity is also present
296 over the waters north of Honduras. This is caused by a series of tropical waves that
297 moved from east to west across the northern portion of this domain during TC4. During
298 the evening hours, OT activity was concentrated over northwest Nicaragua and the
299 interior of Panama. The afternoon convection over Costa Rica and Panama mostly
300 dissipated or moved westward along their Pacific coasts. The presence of convection
301 with large areas of cold BT along the coastlines causes the areal coverage of extremely

302 deep convection over land to increase during the 1900-2100 LT time period though the
303 total number OTs decreased (see Figure 2).

304 During the early morning, OTs were abundant in the offshore and coastal regions
305 of Colombia, Panama, and El Salvador. Animated GOES-12 IRW imagery reveals that
306 strong convection that initially formed over northwest Colombia moved westward
307 throughout the morning hours, triggering new development off the coasts of Panama. A
308 similar trend is observed near El Salvador where convection that moves westward off of
309 northwest Nicaragua helps to initiate vigorous development in the offshore waters.
310 During late morning, the storms near Panama continue to move westward and maintain
311 their intensity while those off of El Salvador mostly dissipate.

312 These results are generally consistent with previous studies that show, over
313 tropical oceans, a broad mid-afternoon maximum in relatively weak convective cloud
314 tops over ocean is accompanied by a second broad maximum in the most intense
315 convection during the early morning hours (e.g., *Minnis and Harrison* [1984], *Liu and*
316 *Zipser* [2005]). The peaks in the anvil, deep convective, and OT clouds over land
317 between 1600 and 1900 LT are typical of most land areas [(e.g., *Minnis and Harrison*
318 [1984], *Liu and Zipser* [2005]). However, the late night maximum in extremely deep
319 convection and secondary maxima in OT and extreme deep convection over land during
320 the early morning hours are atypical. According to Figure 3, the greatest concentration
321 over land, during early morning, is found over the Panama-Columbia border region. *Liu*
322 *et al.* [2008] found an early morning peak in precipitation over the same region
323 indicating the extreme deep convection maximum at that time is not unusual.

324

325 3.2 Storm object analysis

326 The analysis will now transition to the characterization of deep convective cloud
327 objects defined and tracked by the WDSS-II. Figure 4 shows GOES-12 visible imagery
328 from 31 July 2007 for a complex of storms west of Costa Rica. This complex is of
329 special interest to the TC4 experiment as the eastern portion of the cirrus anvil was well
330 sampled by the NASA DC-8 and ER-2 aircraft. In the central portion of the domain in
331 Figure 4a, an OT is apparent in a region of enhanced texture surrounded by a smoother
332 anvil cloud. Extending to the east of the OT is an above-anvil cirrus plume. As noted in
333 the Introduction, an above-anvil cirrus plume indicates the presence of water vapor in
334 the TTL or stratosphere that has condensed to form a cirrus cloud. The plume
335 extended ~100 km across the top of the convective storm complex by 1345 UTC (see
336 Fig. 4c). The plume could still be seen in the 1415 UTC image (not shown) but no later
337 due to a combination of plume dissipation and a reduction in visible channel image
338 texture with decreasing solar zenith angle.

339 Figure 5 (bottom) shows that the overshooting top signatures seen in Figure 4
340 are well captured by the WV-IRW texture method, including the plume-producing storm.
341 Though *Bedka et al.* [2009] and other aforementioned WV-IRW BTD references show
342 that this method can over-diagnose the spatial coverage of OTs, the results shown here
343 indicate that detections are isolated to the OT regions (see 1345 UTC for the best
344 example). This adds credibility to the previously shown OT climatology and areal
345 coverage results.

346 Anvil and deep convective cloud objects are also well defined in this example.
347 The 1245 UTC panel shows two distinct deep convective areas in the northern object

348 separated by a narrow area of warmer cloud. By 1315 UTC, the two deep convective
349 areas merge, though the area defined by WDSS-II does not extend as far west as the
350 cold cloud area shown in the IRW imagery. At 1345 UTC, the two anvil cloud objects
351 come closer together and later merge into one larger object by 1415 UTC (not shown).

352 This storm complex was tracked by WDSS-II for a 16-hour period. Figure 6
353 shows the minimum IRW BT within this object throughout its lifetime. After the first 2
354 hours, where the storm was intensifying, the minimum BT hovered near 195 K for a
355 period of 7 hours. Overshooting tops were detected within this complex for 10
356 consecutive hours. During the first 8 hours of existence, the areal coverage of the anvil
357 and deep convection continued to expand, reaching a peak near 1500 UTC. The
358 merger between the northern and southern storm complex in the 1345-1415 UTC time
359 frame is evident in this plot. The results here suggest that two other mergers later
360 occurred at 1645 and 1815 UTC. Animated IRW imagery shows that the increase in
361 anvil cloud and deep convection area is caused by new convective initiation within this
362 complex, which provided a new influx of cold cloud tops. Warming cloud tops and
363 decreasing areal coverage after 1900 UTC indicates that the complex is decaying
364 rapidly.

365 Since the results have shown that the WDSS-II can accurately monitor the
366 evolution of convective complexes for long time periods, WDSS-II was applied to 30
367 minute imagery over the full duration of the TC4 experiment. We focus on anvil cloud
368 objects (i.e. convective complexes) that exist longer than 30 min and are collocated with
369 a deep convection object at least once during their lifetime. A total of 877 complexes
370 met this criteria and are included in the following analyses. Figure 7 (top) shows that

371 the lifetime of the 31 July object described above was in the 95th percentile of all objects
372 tracked by WDSS-II. The mean lifetime of anvil cloud objects is 5 hours and the object
373 contained deep convection for 3 hours (60%) of this time (not shown in Figure). Figure
374 7 also shows that the 31 July complex was quite large relative to other complexes that
375 developed during TC4. The mean of the maximum areal coverage of anvil cloud
376 objects is 13,900 km² and the mean of the maximum coverage of deep convection is
377 3345 km², indicating that deep convection covers 24% of a mature convective storm
378 complex (not shown in Figure). Based upon the areal coverage of the WV-IRW BT
379 OT detections, it is determined that OT pixels represent only 0.15% of a convective
380 storm complex. It is important to note here that these results are based on the anvil
381 cloud being defined by a 225 K BT. In reality, semi-transparent anvil cloud along the
382 periphery of a storm complex can spread and add a significant amount of areal
383 coverage to the anvil cloud statistics, thereby reducing the mean coverage of deep
384 convection and OT pixels.

385

386 **3.3. Deep convective cloud and anvil microphysics**

387 Areal coverage of the convective complexes constitutes only one aspect of the
388 storm lifetime. The ice water budget of the clouds and its impact on the radiation
389 budget are important characteristics of the convection that need to be understood if they
390 are to be properly modeled. The influence on the radiation budget can be computed using
391 the D_e , COD, and the cloud-top temperature or Z_t , while the ice water variations can be
392 monitored using IWP.

393 Figure 8 shows the mean variations of each of those parameters for 127 storms
394 as functions of the relative age of the system and the distance from the core of the
395 storm using the approach described earlier. The cloud-top height (Figure 8a) of the core
396 drops by ~ 0.8 km during the average complex's lifetime, from 15.8 km to 15.0 km. This
397 decrease with age extends 100 km from the storm center, but diminishes to a
398 magnitude of less than 0.3 km at ~ 75 km from the storm center. The decrease is not
399 monotonic with the peak heights occurring around 20% into life of the system.

400 The mean COD (Figure 8b) of the core is at a maximum of ~ 95 for the first half
401 of its existence and drops by $\sim 40\%$ as it decays. Overall, the average COD varies non-
402 monotonically, with mean maximum values occurring during the first 50% of the lifetime
403 to a distance of 70 km from the core. Beyond 70 km, the youngest and oldest clouds
404 have the greatest CODs, which are about half of the core values. The mean ice particle
405 sizes (Figure 8c) at the core are smallest early in the storm life but generally increase
406 with age. Within the first 20-km radius, D_e drops by 1-3 μm regardless of age. At
407 distances between 30 and 60 km from the center, D_e is a maximum about 60% through
408 the average storm's life. It is lowest for the new anvil at this distance range. IWP peaks
409 at $\sim 40\%$ into the storm's life when COD and D_e are near or at their peak values (Figure
410 8d). IWP drops by a factor of 2 at 100 km from the center at the time of peak storm
411 intensity. When the storm decays, the IWP is lowest and the drop with distance is much
412 less pronounced due to the decreased COD and the homogeneity of D_e .

413 When cores penetrate the TTL, the cloud properties tend to be more extreme.
414 For OT clouds, the mean values of COD, D_e , and Z_t are 104.6, 84.8 μm , and 16.3 km,
415 respectively (not shown). These values can be compared to 83.2, 80.5 μm , and 15.7

416 km, respectively, for cores that are not classified as having OTs. By definition, the cloud
417 tops are higher for OT storms. The increased optical depth is due in part to the greater
418 thickness and reflects the intensity of storms, but it also reflects the age of the storms
419 since the cores are less vigorous with age as seen in Figure 8b.

420 When the object can be identified with the WDSS-II, the core is still growing,
421 reaching its peak at 20-40% into its lifetime. This peak is defined by maxima in all
422 parameters except ice diameter. The rise and fall of Z_t follows the growth and decay of
423 the convective system (Figure 8a). The anvil continues to build vertically until the
424 system is in middle age. Its top then drops to lower altitudes at a faster pace than that of
425 the growth stage. The initially smaller particles at the top of the core may be due to the
426 rapid rise of the younger particles in the relatively dry environment above the cloud,
427 whereas, the droplets and ice crystals ascending within the already formed convective
428 core are in a moister environment where they can grow to larger sizes (Figure 8c). This
429 might explain why the anvil contains significantly smaller particles early in its existence,
430 but rapidly accumulates large ice crystals as the convection proceeds. The slower rate
431 of decrease in D_e with increasing radius as the system decays could also be due to the
432 greater abundance of moisture in the surrounding environment compared to the initial
433 stages. It is not clear why D_e increases with distance beyond ~60 km for the younger
434 clouds. Perhaps, there is some influence of other systems overlapping the younger
435 anvils.

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439 **4. Summary and Conclusions**

440 This study characterizes the convective storms that occurred during the TC4 field
441 program as observed within GOES-12 10.7 μm IR window imagery. There were clear
442 differences in the areal coverage of anvil cloud, deep convection, and overshooting top
443 activity over land and water and also throughout the diurnal cycle. Overshooting top
444 detections from the WV-IRW method were used to determine where this activity is most
445 frequent across a subset of the TC4 domain.

446 As would be expected, convection over interior land regions increased during the
447 1315-1815 LT period in conjunction with strong solar heating of a humid tropical air
448 mass. The northern coast and offshore waters of Honduras were particularly active
449 during the 1315-2345 LT period. Northwest Nicaragua and El Salvador also showed a
450 convective maximum within the 1845-2345 LT period. Convection developed and
451 moved across the offshore waters and coastal areas of these two nations during the
452 early morning hours, producing a distinct regional maximum in OT activity. The offshore
453 waters of Panama, northwest Colombia, and El Salvador were the most active regions
454 for OT-producing storms. The greatest areal coverage of OT activity over the study
455 domain was present on 4 August.

456 A large convective storm complex that occurred on 31 July was examined in
457 detail in this paper as the anvil cloud from this complex was well sampled by TC4
458 research aircraft. The 1-km visible-channel imagery showed the presence of an above-
459 anvil cirrus plume that was evident for a 1.5 hour period. This plume was connected to
460 a persistent overshooting top area that served to inject water vapor into the TTL and/or
461 stratosphere that condensed to form a cloud. This large convective complex was

462 detected and tracked for 16 hours by the WDSS-II system. The anvil cloud from this
463 complex covered a $\sim 65,000 \text{ km}^2$ area, making it one of the largest and most long-lived
464 of those detected during the TC4 experiment. Overshooting tops were detected within
465 this complex for 10 consecutive hours.

466 A total of 877 convective storm complexes were detected and tracked by WDSS-
467 II throughout the duration of the TC4 experiment. The mean lifetime for these
468 complexes is 5 hours with anvil cloud at its greatest horizontal extent covering a mean
469 area of $13,900 \text{ km}^2$. Deep convection is found within the anvil cloud during 60% of the
470 storm lifetime and covers 24% of the total anvil area. As most observed overshooting
471 tops are $\leq 15 \text{ km}$ in diameter, they only occupy 0.15% of the anvil cloud, on average.
472 The areal coverage proportions of deep convection and overshooting are likely a bit too
473 high because a relatively cold 225 K BT threshold is used to define the spatial extent of
474 the anvil cloud.

475 The microphysical properties of convective systems were determined only for
476 storms that occurred completely during sunlit conditions. On average, they followed a
477 reasonable pattern with maximum values of cloud top height and optical depth occurring
478 at the core roughly at a time corresponding to 20% of the system's lifetime. The values
479 in the anvil peaked at relative age of 20-50% before decreasing as the convective
480 system decayed. Ice particles decreased in size with distance from the center of the
481 core but generally increased in size with storm age. These results, which characterize
482 the average convective system during the experiment, should be valuable for
483 formulating and validating convective cloud process models.

484 The results presented here indicate that the diurnal cycles of convective clouds
485 and overshooting tops are quite consistent with climatology, not only over the
486 experiment area, but also over the Tropics, in general. Thus, the TC4 aircraft
487 measurements, when taken as a whole, should be representative of tropical convective
488 systems. However, because they were confined to daylight flights, they did not sample
489 most of the clouds that produce overshooting tops, the main source for TTL moisture.
490 Nevertheless, at least, one of the most intense daytime storms (July 31) was sampled
491 by the experiment and should provide the basis for a good case study.

492 It is clear that the satellite imagery is critical for learning the behavior of these
493 complex convective systems. By bringing the knowledge gained from the satellite
494 analyses together with the TC4 in situ data and numerical cloud process models, it
495 should be possible to make some important strides in understanding deep convection
496 over the Tropics and its interaction with the TTL.

497

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641 **List of Figure Captions**

642

643 Figure 1: GOES-8 channel 1, 3 and 4 composite imagery across the US Great Plains
644 showing a row of thunderstorms with anvil top plumes (indicated by white arrows) at
645 0015 UTC on 06 May 2002. From Wang (2007).

646

647 Figure 2: Fractional coverage of anvil cloud, deep convective cloud, extremely deep
648 convective cloud, and overshooting top pixels over land (top) and water (bottom) within
649 the TC4 domain. The solid (dashed) lines correspond to the left (right) y-axis.

650

651 Figure 3: Locations of WV-IRW based OT detections over land (blue) and water (cyan)
652 during the a) afternoon (1315-1815 LT), b) evening (1845-2345 LT), c) early morning
653 (0015-0515 LT), and d) late morning (0545-1045 LT).

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655 Figure 4: Contrast-enhanced GOES-12 visible channel imagery at 1315 (top) and 1345
656 UTC (bottom) on 31 July 2007. An overshooting top (dashed circle) is connected to an
657 above-anvil cirrus plume (solid line) in both panels. Subpanels show the plume region
658 in closer detail.

659

660 Figure 5: GOES-12 IRW imagery (top row), WDSS-II objects (middle row), and WV-IRW
661 BT based OT detections (bottom row) at 1245 (left column), 1315 (middle column),
662 and 1345 UTC (right column) on 31 July 2007. WDSS-II objects colored blue represent
663 anvil cloud objects identified with a 225 K IRW BT threshold. WDSS-II objects colored
664 red represent the deep convective area IRW BT \leq 215 K.

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666 Figure 6: (top) The minimum IRW BT throughout the lifetime of the convective storm
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668 the object. (bottom) The areal coverage of anvil cloud and deep convection for the
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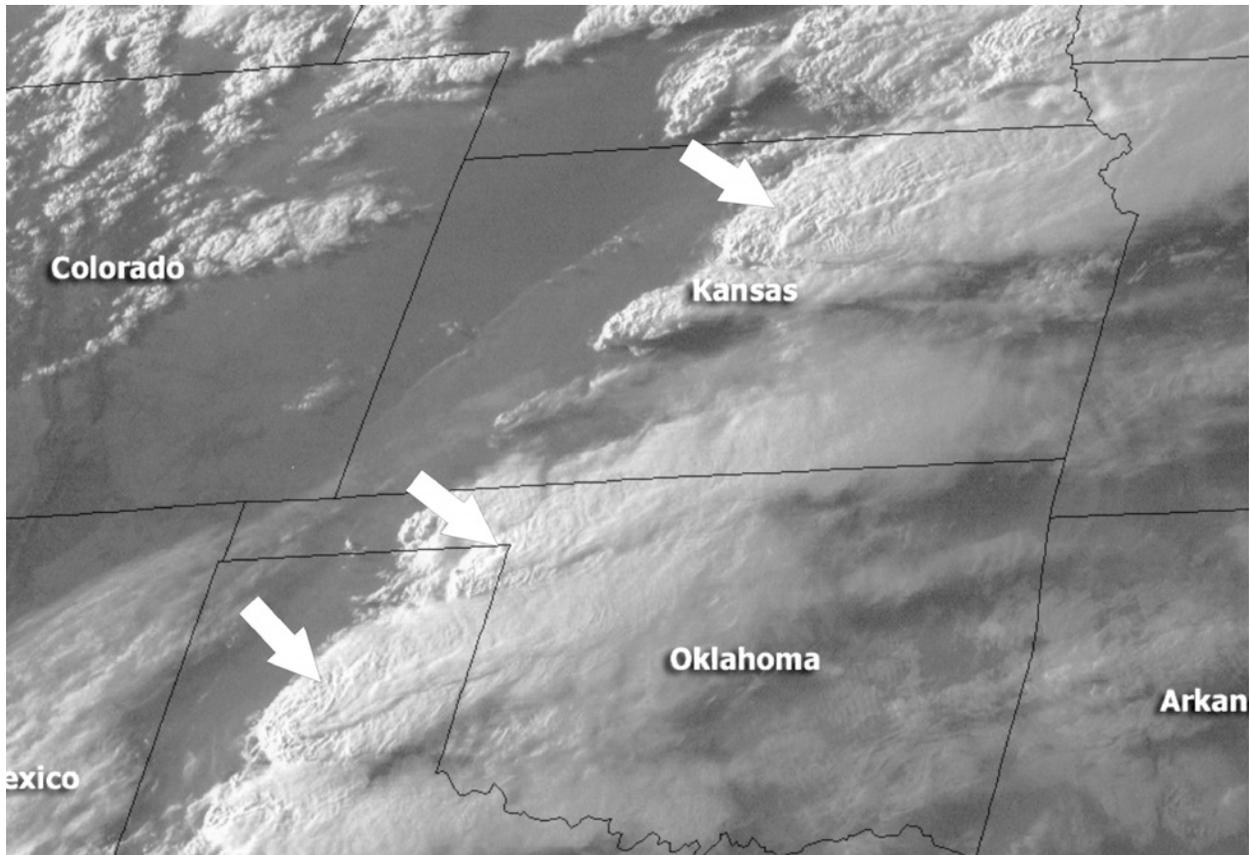
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671 Figure 7: (top) The lifetime of anvil cloud (black bars) and duration of deep convection
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673 cloud object areal coverage for cloud complexes tracked by the WDSS-II.

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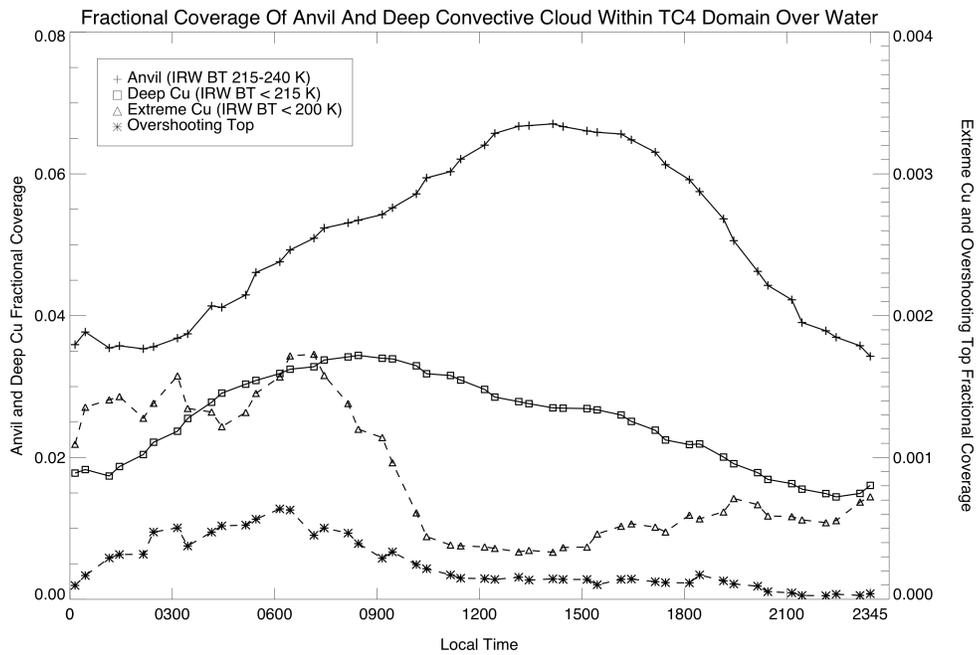
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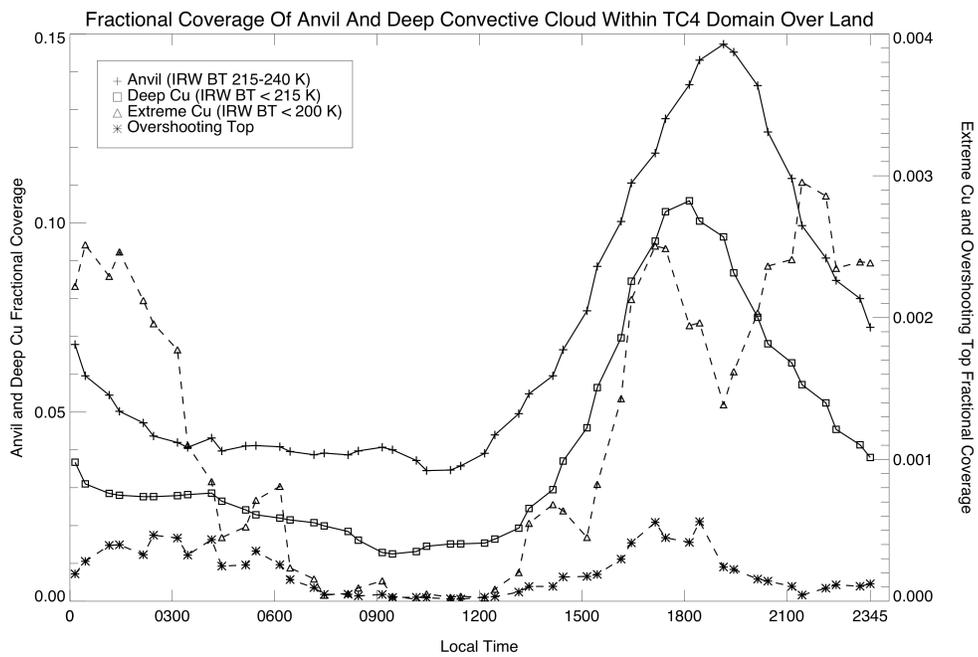


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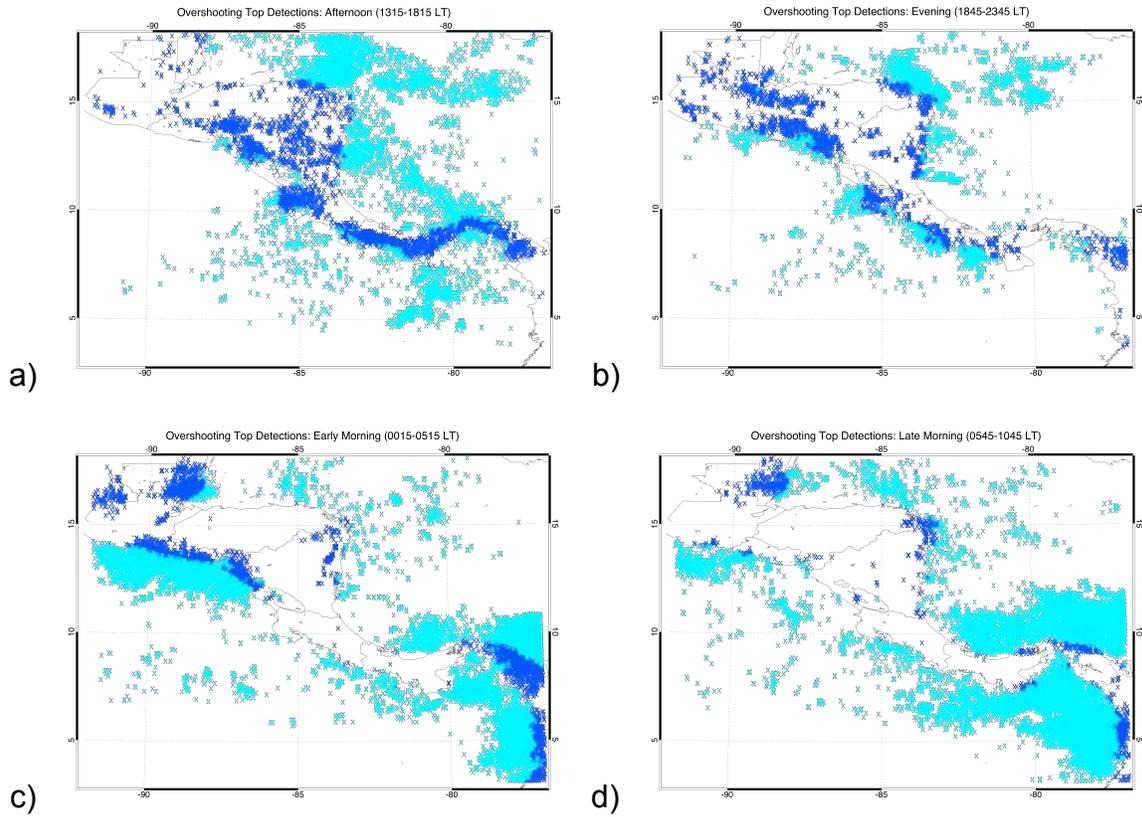
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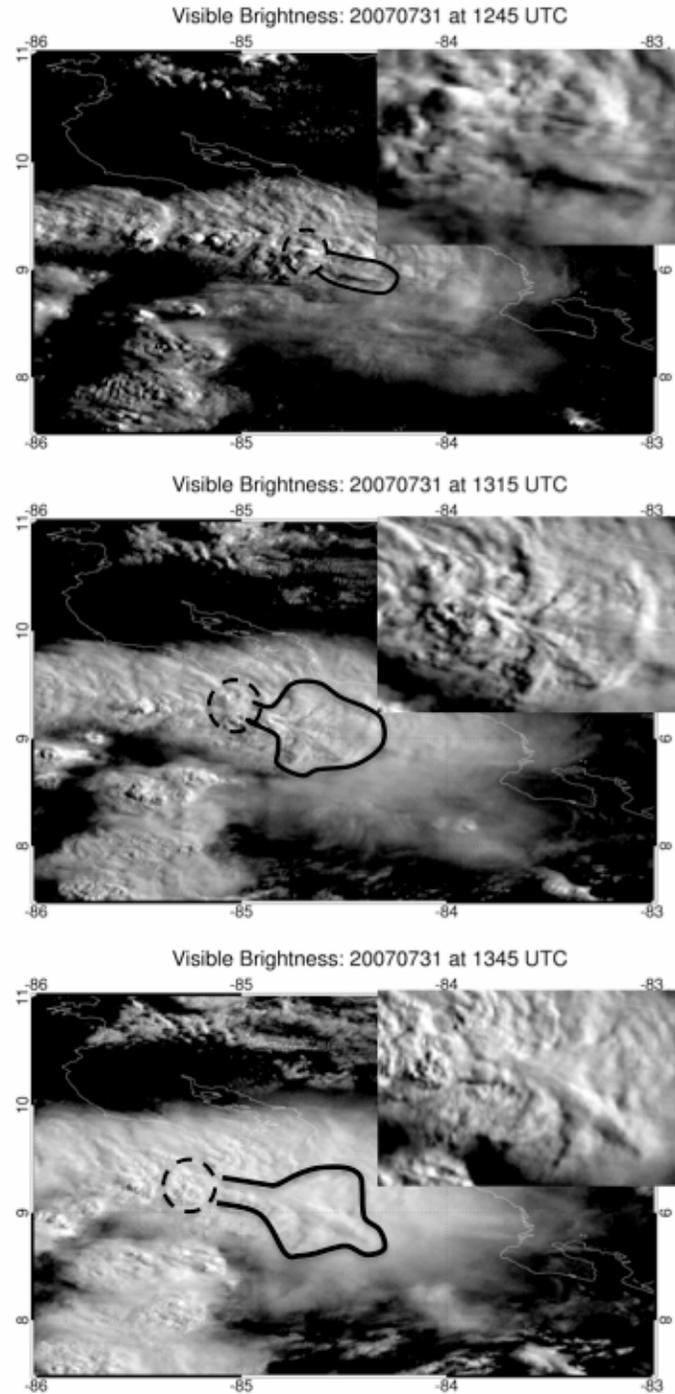
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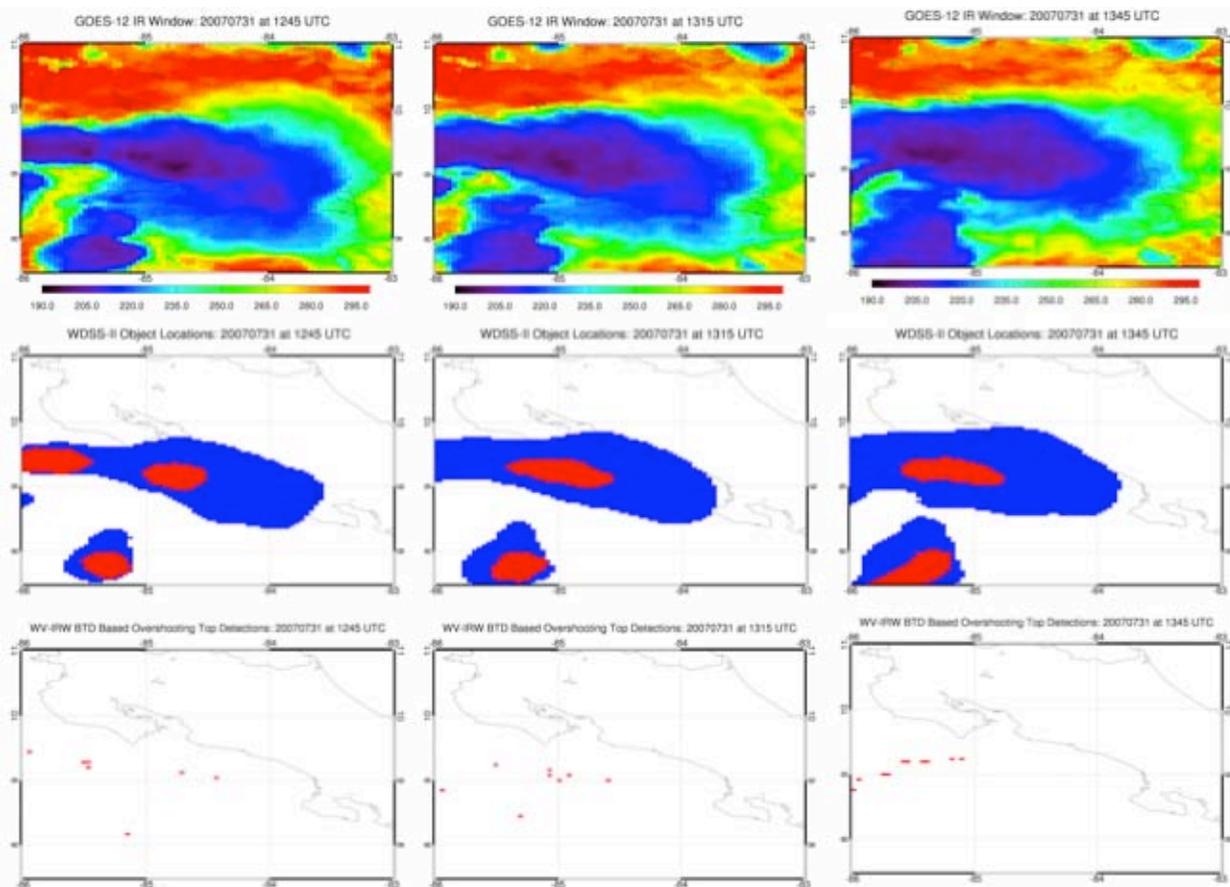


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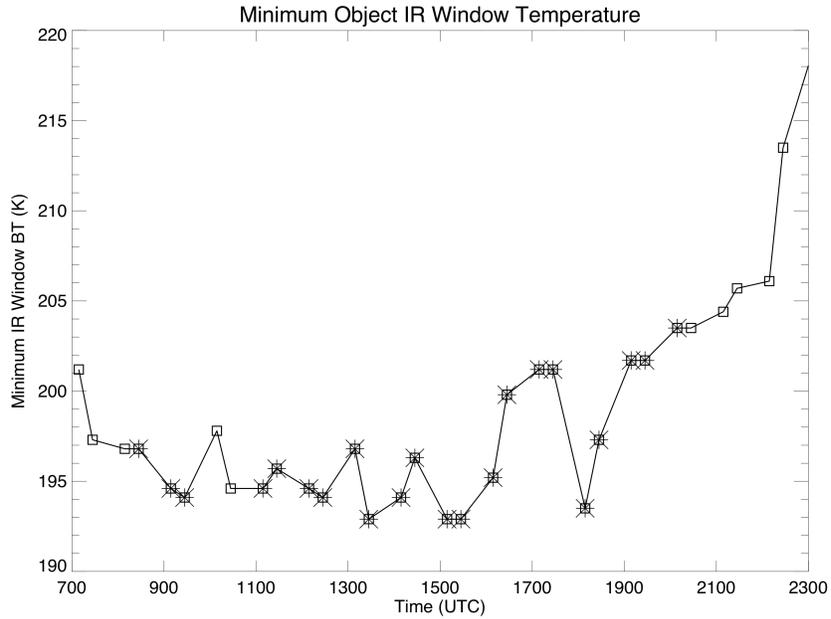
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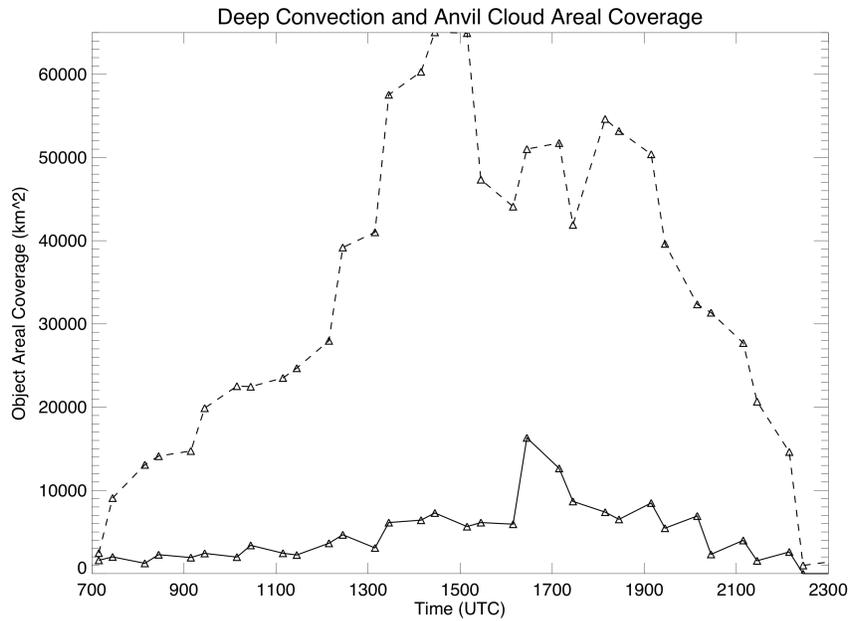
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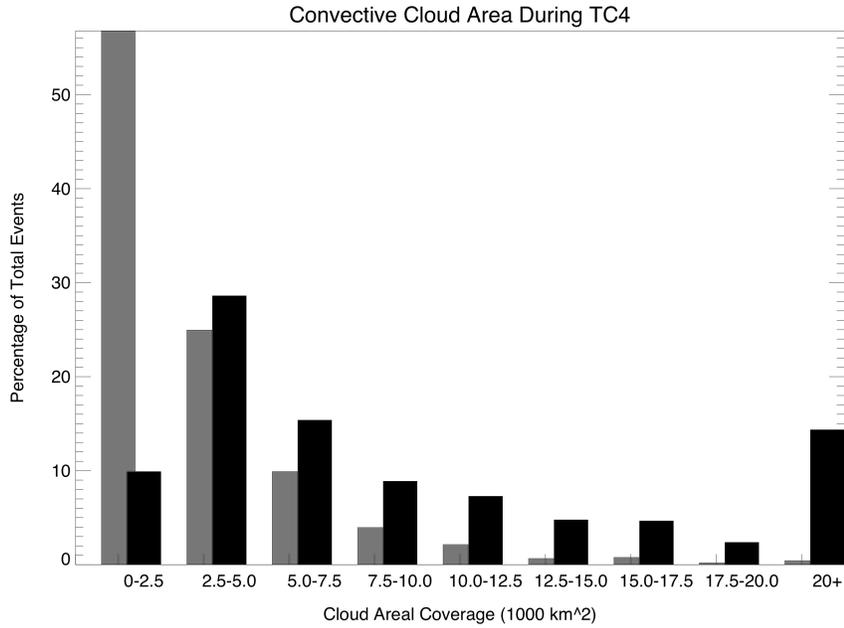


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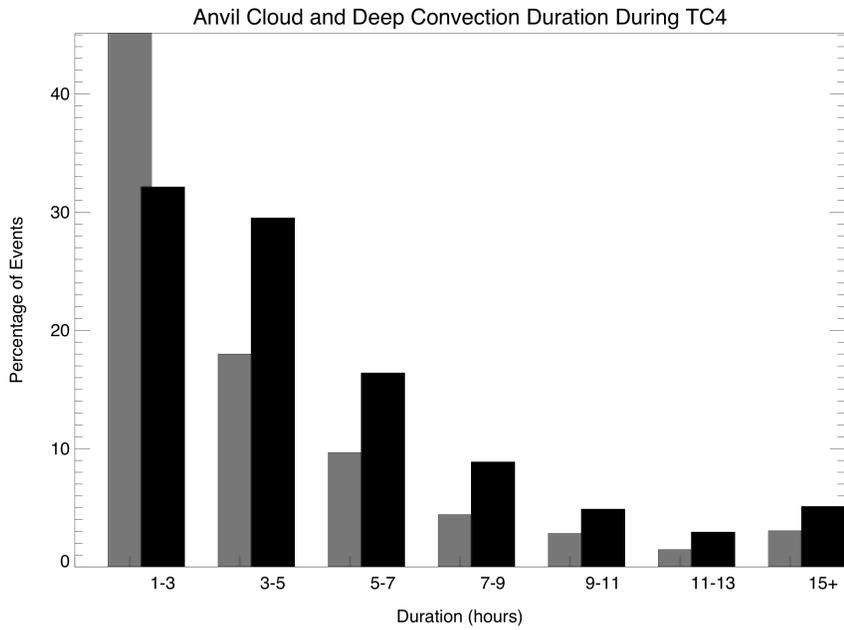


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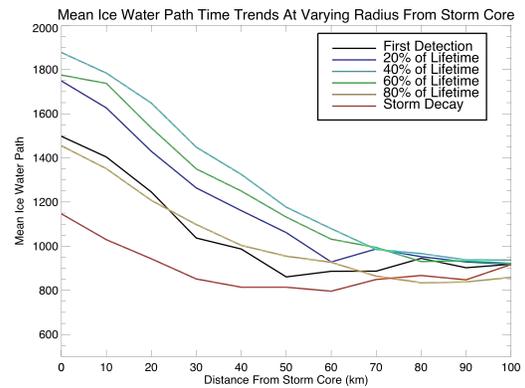
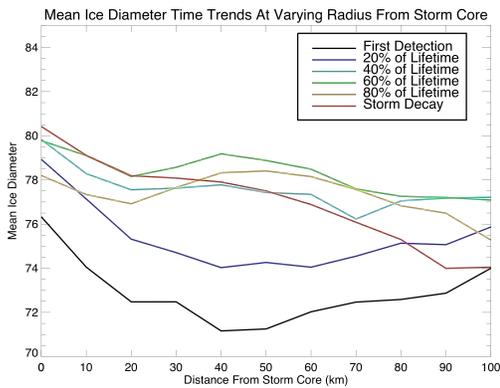
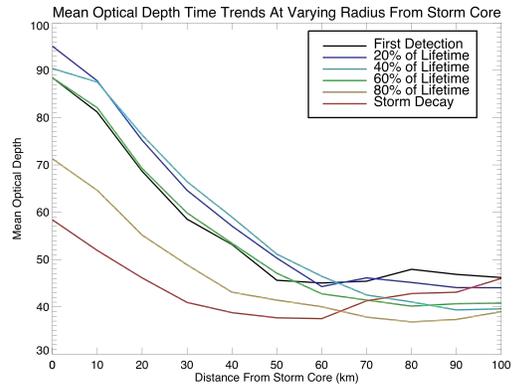
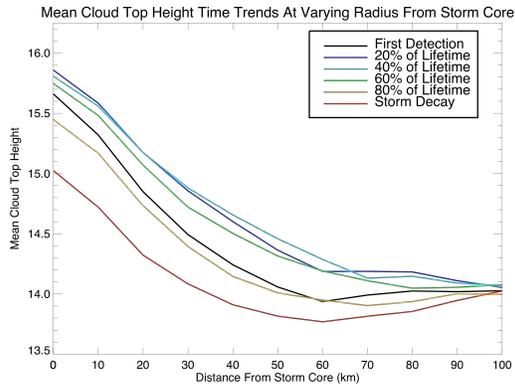
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b)

726 c)
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d)

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